#### **MIT 6.875**

# Foundations of Cryptography Lecture 14

# **Beyond Secure Communication**



#### Much more than communicating securely.

- Complex Interactions: proofs, computations, games.
- Complex Adversaries: Alice or Bob, adaptively chosen.
- Complex Properties: Correctness, Privacy, Fairness.
- Many Parties: this class, MIT, the internet.

### **Classical Proofs**



**Prover writes down a string (proof); Verifier checks.** 



#### **Proofs**



### Efficiently Verifiable Proofs: $\mathcal{NP}$



Works hard

**Polynomial-time** 

#### Theorem: *N* is a product of two prime numbers





#### Accept *iff* N = PQ and P, Q prime

# Efficiently Verifiable Proofs: $\mathcal{NP}$



Works hard

**Polynomial-time** 

<u>**Def</u>: A language/decision procedure**  $\mathcal{L}$  is simply a set of strings. So,  $\mathcal{L} \subseteq \{0,1\}^*$ .</u>

# Efficiently Verifiable Proofs: $\mathcal{NP}$



**<u>Def</u>**:  $\mathcal{L}$  is an  $\mathcal{NP}$ -language if there is a **poly-time** verifier V where

- Completeness: True theorems have (short) proofs.
   for all x ∈ L, there is a poly(|x|)-long witness
   (proof) w ∈ {0,1}\* s.t. V(x,w) = 1.
- Soundness: False theorems have no short proofs.
   for all x ∉ L, there is no witness. That is, for all polynomially long w ∈ {0,1}\*, V(x,w) = 0.

#### Theorem: *N* is a product of two prime numbers



#### Accept *iff* N = PQ.

#### After interaction, Bob the Verifier knows:

1) N is a product of two primes.

2) Also, the two factors of N.

# Theorem: y is a quadratic residue mod N



#### After interaction, Bob the Verifier knows:

- 1) y is a quadratic residue mod N.
- 2) Also, the square root of y.

#### Theorem: Graphs $G_0$ and $G_1$ are isomorphic.







Proof =  $\pi$ :  $[N] \rightarrow [N]$ , the isomorphism

**Prover** 

Check  $\forall i, j$ :  $(\pi(i), \pi(j)) \in E_1 \text{ iff } (i, j) \in E_0.$ 

### Theorem: Graphs $G_0$ and $G_1$ are isomorphic.



#### After interaction, Bob the Verifier knows:

- 1)  $G_0$  and  $G_1$  are isomorphic.
- 2) Also, the isomorphism.

### Theorem: Graphs G has a Hamiltonian cycle.







Prover

Check  $\forall i$ :  $(v_i, v_{i+1 \mod N}) \in E$ 

### Theorem: Graphs G has a Hamiltonian cycle.







Prover

#### After interaction, Bob the Verifier knows:

1) G has a Hamiltonian cycle.

2) Also, the Hamiltonian cycle itself.

### Theorem: Graphs G has a Hamiltonian cycle.







Prover

#### **NP-Complete** Problem:

Every one of the other problems can be reduced to it

# Theorem: y is a quadratic residue mod N



#### After interaction, Bob the Verifier knows:

- 1) y is a quadratic residue mod N.
- 2) Also, the square root of y.

### Is there any other way?

### **Zero Knowledge Proofs**



"I will prove to you that I could've sent you a proof if I felt like it."

Prover



Micali

Goldwasser

Rackoff

### **Zero Knowledge Proofs**



"I will not give you the square root, but I will prove to you that I could provide one if I wanted to."

Prover



Micali

Goldwasser

Rackoff

# **Two (Necessary) New Ingredients**

**1. Interaction:** Rather than passively reading the proof, the verifier engages in a conversation with the prover.

**2.** Randomness: The verifier is randomized and can make a mistake with a (exponentially small) probability.



#### Here is the idea.







THEOREM: "there is an  $\leq k$  move solution to this cube"





# Here is the idea.



"Random" config



Prover

Challenge (0 or 1)









# Here is the idea.



"Random" config



Chal	lenge	(0	or	1)





1: Show k/2 moves

**POINT IS THIS**: If the prover can do both consistently, then there exist k moves that map  $\bigotimes$  to  $\bigotimes$ 



# Interactive Proofs for a Language $\mathcal{L}$



#### **Comp. Unbounded**

Probabilistic Polynomial-time

## Interactive Proofs for a Language $\mathcal{L}$



<u>**Def</u>:**  $\mathcal{L}$  is an  $\mathcal{JP}$ -language if there is a unbounded P and **probabilistic poly-time** verifier V where</u>

- **Completeness**: If  $x \in \mathcal{L}$ , V always accepts.
- Soundness: If x ∉ L, regardless of the cheating prover strategy, V accepts with negligible probability.

# Interactive Proofs for a Language ${\cal L}$



**<u>Def</u>**:  $\mathcal{L}$  is an  $\mathcal{JP}$ -language if there is a **probabilistic poly-time** verifier V where

- **Completeness**: If  $x \in \mathcal{L}$ ,  $\Pr[(P, V)(x) = accept] = 1.$
- Soundness: If x ∉ L, there is a negligible function negl s.t. for every P\*,

 $\Pr[(P^*, V)(x) = accept] = \operatorname{negl}(\lambda).$ 

# Interactive Proofs for a Language ${\cal L}$



**<u>Def</u>**:  $\mathcal{L}$  is an  $\mathcal{JP}$ -language if there is a **probabilistic poly-time** verifier V where

- **Completeness**: If  $x \in \mathcal{L}$ ,  $\Pr[(P, V)(x) = accept] \ge c$ .
- Soundness: If x ∉ L, there is a negligible function negl s.t. for every P\*,

 $\Pr[(P^*, V)(x) = accept] \leq s.$ 

Equivalent as long as  $c - s \ge 1/\text{poly}(\lambda)$ 

## **Interactive Proof for QR**

 $\mathcal{L} = \{(N, y): y \text{ is a quadratic residue mod } N\}.$ 

$$(N, y)$$

$$b \leftarrow \{0,1\}$$

$$(N, y)$$

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$$(N, y)$$

$$(N,$$

### **Completeness**

**Claim:** If  $(N, y) \in L$ , then the verifier accepts the proof with probability 1.

**Proof:** 

$$z^{2} = (rx^{b})^{2} = r^{2}(x^{2})^{b} = sy^{b} \pmod{N}$$

So, the verifier's check passes and he accepts.

### Soundness

**Claim:** If  $(N, y) \notin L$ , then for every cheating prover  $P^*$ , the verifier accepts with probability at most 1/2.

**Proof:** Suppose the verifier accepts with probability > 1/2.

Then, there is some  $s \in Z_N^*$  s.t. the prover produces

$$z_0 : z_0^2 = s \pmod{N}$$
$$z_1 : z_1^2 = sy \pmod{N}$$

This means  $(z_1/z_0)^2 = y \pmod{N}$ , which tells us that  $(N, y) \in L$ .

## **Interactive Proof for QR**

 $\mathcal{L} = \{(N, y): y \text{ is a quadratic residue mod } N\}.$ 

$$s_{i} = r_{i}^{2} \pmod{N}$$

$$(N, y)$$

$$b_{i} \leftarrow \{0, 1\}$$

$$(N, y)$$

$$b_{i} \leftarrow \{0, 1\}$$

$$(N, y)$$

$$(N, y$$

REPEAT sequentially  $\lambda$  times.

### Soundness

**Claim:** If  $(N, y) \notin L$ , then for every cheating prover  $P^*$ , the verifier accepts with probability at most  $(\frac{1}{2})^{\lambda}$ .

**Proof:** Exercise.

### This is Zero-Knowledge.

But what does that mean?

$$(N, y)$$

$$b \leftarrow \{0,1\}$$

$$(N, y)$$

$$b \leftarrow \{0,1\}$$

$$(N, y)$$

$$(N,$$

## **How to Define Zero-Knowledge?**

#### After the interaction, V knows:

- The theorem is true; and
- A view of the interaction
   (= transcript + coins of V)

#### *P* gives zero knowledge to V:

When the theorem is true, the view gives V nothing that he couldn't have obtained on his own without interacting with P.

# How to Define Zero-Knowledge?

(*P*, *V*) is zero-knowledge if *V* can generate his **VIEW** of the interaction **all by himself** in **probabilistic polynomial time**.

# How to Define Zero-Knowledge?

(*P*, *V*) is zero-knowledge if *V* can "simulate" his **VIEW** of the interaction **all by himself** in **probabilistic polynomial time**.

## **The Simulation Paradigm**



 $sim_S$ : (s, b, z)

 $view_V(P,V)$ : Transcr(pt b, z), Coins = b

$$s = r^{2} \pmod{N}$$

$$b \leftarrow \{0,1\}$$

$$(N, y)$$

## **Zero Knowledge: Definition**

An Interactive Protocol (P,V) is zero-knowledge for a language L if there exists a **PPT** algorithm S (a simulator) such that for every  $x \in L$ , the following two distributions are indistinguishable:

1.  $view_V(P,V)$ 

2.  $S(x, 1^{\lambda})$ 

## **Perfect Zero Knowledge: Definition**

An Interactive Protocol (P,V) is **perfect zeroknowledge** for a language L if there exists a PPT algorithm S (a simulator) such that for every  $x \in$ L, the following two distributions are **identical**:

1. 
$$view_V(P,V)$$

2.  $S(x, 1^{\lambda})$ 

# **Statistical Zero Knowledge: Definition**

An Interactive Protocol (P,V) is statistical zeroknowledge for a language L if there exists a PPT algorithm S (a simulator) such that for every  $x \in$ L, the following two distributions are statistically indistinguishable:

1.  $view_V(P,V)$ 

2.  $S(x, 1^{\lambda})$ 

#### **Computational Zero Knowledge: Definition**

An Interactive Protocol (P,V) is **computational zero-knowledge** for a language L if there exists a PPT algorithm S (a simulator) such that for every  $x \in L$ , the following two distributions are **computationally indistinguishable**:

1.  $view_V(P,V)$ 

2.  $S(x, 1^{\lambda})$ 

# Zero Knowledge

**Claim:** The QR protocol is zero knowledge.



$$view_V(P,V):$$
  
(s,b,z)

#### Simulator S works as follows:

- 1. First pick a random bit b.
- 2. pick a random  $z \in Z_N^*$ .
- 3. compute  $s = z^2/y^b$ .
- 4. output (s, b, z).

**Exercise:** The simulated transcript is identically distributed as the real transcript in the interaction (P,V).

# What if V is NOT HONEST.

OLD DEF An Interactive Protocol (P,V) is **honest-verifier** perfect zero-knowledge for a language L if there exists a PPT simulator S such that for every  $x \in L$ , the following two distributions are identical:

> 2.  $S(x, 1^{\lambda})$  $view_V(P,V)$

REAL DEF An Interactive Protocol (P,V) is **perfect zero-knowledge** for a language L if for every PPT  $V^*$ , there exists a (expected) poly time simulator S s.t. for every  $x \in L$ , the following two distributions are identical:

> 2.  $S(x, 1^{\lambda})$ 1.  $view_{V^*}(P, V^*)$

# NOW: (Malicious Ver) Zero Knowledge

**Theorem:** The QR protocol is (malicious verifier) zero knowledge.



$$view_{V^*}(P,V^*):$$
  
(s, b, z)

#### Simulator S works as follows:

1. First pick a random s and "feed it to"  $V^*$ .

2. Let 
$$b = V^*(s)$$
.

Now what???

# (Malicious Ver) Zero Knowledge

**Theorem:** The QR protocol is (malicious verifier) zero knowledge.

#### Simulator S works as follows:

1. First set  $s = \frac{z^2}{y^b}$  for a random z and b and feed s to  $V^*$ . 2. Let b' =  $V^*(s)$ .

3. If b' = b, output (s, b, z) and stop.

4. Otherwise, go back to step 1 and repeat. (also called "rewinding").

#### Simulator S works as follows:

1. First set  $s = \frac{z^2}{y^b}$  for a random z and feed s to  $V^*$ . 2. Let  $b' = V^*(s)$ .

3. If b' = b, output (s, b, z) and stop.

4. Otherwise, go back to step 1 and repeat. (also called "rewinding").

#### Lemma:

- (1) S runs in expected polynomial-time.
- (2) When S outputs a view, it is identically distributed to the view of  $V^*$  in a real execution.

### What Made it Possible?

1. Each statement had multiple proofs of which the prover chooses one at random.

2. Each such proof is made of two parts: seeing either one on its own gives the verifier no knowledge; seeing both imply 100% correctness.

3. Verifier chooses to see either part, at random. The prover's ability to provide either part on demand convinces the verifier.