

**MIT 6.875**

**Foundations of Cryptography**

**Lecture 12**

# **RECAP from L11**

# Digital Signatures: Definition

A triple of PPT algorithms  $(Gen, Sign, Verify)$  s.t.

- $(vk, sk) \leftarrow Gen(1^n)$ .
- $\sigma \leftarrow Sign(sk, m)$ .
- $Acc(1)/Rej(0) \leftarrow Verify(vk, m, \sigma)$ .

**Correctness:** For all  $vk, sk, m$ :

$$Verify(vk, m, Sign(sk, m)) = \text{accept.}$$

# EUF-CMA Security

*(Existentially Unforgeable against a Chosen Message Attack)*



Challenger



Eve

$(vk, sk) \leftarrow Gen(1^n)$

$vk$



$m_i$



$\sigma_i \leftarrow Sign(sk, m_i)$

$\sigma_i$



poly many times

$m^*, \sigma^*$



Eve wins if  $Verify(vk, m^*, \sigma^*) = 1$  and  $m^* \notin \{m_1, m_2, \dots\}$ .

The signature scheme is EUF-CMA-secure if no PPT Eve can win with probability better than  $\text{negl}(n)$ .

# Lamport (One-time) Signatures

*How to sign  $n$  bits*

Verification Key  $VK$ :  $\begin{bmatrix} y_{1,0} & y_{2,0} & y_{n,0} \\ y_{1,1} & y_{2,1} & y_{n,1} \end{bmatrix}$

where  $y_{i,c} = f(x_{i,c})$ .

Signing an  $n$ -bit message  $(m_1, \dots, m_n)$ :

The signature is  $(x_{1,m_1}, \dots, x_{n,m_n})$ .

**Claim**: Assuming  $f$  is a OWF, no PPT adv can produce a signature of  $m$  given a signature of a single  $m' \neq m$ .

**Claim**: Can forge signature on any message given the signatures on (some) two messages.

# **TODAY: Digital Signatures, Continued**

# Constructing a Signature Scheme

Step 0. Still one-time, but arbitrarily long messages.

Step 1. Many-time: Stateful, Growing Signatures.

Step 2. How to Shrink the signatures.

Step 3. How to Shrink Alice's storage.

Step 4. How to make Alice stateless.

Step 5 (*optional*). How to make Alice stateless and deterministic.

# Step 0: How to Sign Polynomially Many Bits

*(with a fixed verification key)*



# Detour: Collision-Resistant Hash Functions

A compressing **family of functions**  $\mathcal{H} = \{h: \{0,1\}^m \rightarrow \{0,1\}^n\}$  (where  $m > n$ ) for which it is computationally hard to find collisions.

**Def:**  $\mathcal{H}$  is collision-resistant if for every PPT algorithm  $A$ , there is a negligible function  $\mu$  s.t.

$$\Pr_{h \leftarrow \mathcal{H}} [A(1^n, h) = (x, y): x \neq y, h(x) = h(y)] = \mu(n)$$

# Do CRHFs exist?

- **Theoretical Constructions:** assuming discrete logarithms (as well as under several other number-theoretic assumptions)
- **Practical Constructions:** SHA3.
- **Domain Extension Theorem:** If there exist hash functions compressing  $n + 1$  bits to  $n$  bits, then there are hash functions that compress any  $\text{poly}(n)$  bits into  $n$  bits.

# How to Sign Polynomially Many Bits

*(with a fixed verification key)*

**Idea: Hash the message into  $n$  bits and sign the hash.**

Signing Key  $SK$ :  $\begin{bmatrix} x_{1,0} & x_{2,0} & x_{n,0} \\ x_{1,1} & x_{2,1} & x_{n,1} \end{bmatrix}$

Verification Key  $VK$ :  $\begin{bmatrix} y_{1,0} & y_{2,0} & y_{n,0} \\ y_{1,1} & y_{2,1} & y_{n,1} \end{bmatrix}$  and  $h \leftarrow \mathcal{H}$ .

Signing an  $n$ -bit message  $m$ : Compute the hash  $z = h(m)$ .

The signature is  $(x_{1,z_1}, \dots, x_{n,z_n})$ .

Verifying  $(m, \sigma)$ : Recompute the hash  $z = h(m)$ .

Check if  $\forall i: f(\sigma_i) = y_{i,z_i}$

# How to Sign Polynomially Many Bits

*(with a fixed verification key)*

**Claim**: Assuming  $f$  is a OWF and  $\mathcal{H}$  is a collision-resistant family, no PPT adv can produce a signature of  $m$  given a signature of a single  $m' \neq m$ .

## **Proof Idea**:

Either the adversary picked  $m'$  s.t.  $h(m') = h(m)$ , in which case she violated collision-resistance of  $\mathcal{H}$ .

*(or)*

She produced a Lamport signature on a “message”  $z' \neq z$ , in which case she violated one-time security of Lamport, and therefore the one-wayness of  $f$ .

# Let's go back to CRHFs...

$p = 2q + 1$  is a “safe” prime.

$$\mathcal{H} = \{h: (\mathbb{Z}_q)^2 \rightarrow QR_p\}$$

Each function  $h_{g_1, g_2} \in \mathcal{H}$  is parameterized by two generators  $g_1$  and  $g_2$  of  $QR_p$  (a group of order  $q$ ).

$$h_{g_1, g_2}(x_1, x_2) = g_1^{x_1} g_2^{x_2} \bmod p.$$

This compresses  $2 \log q$  bits into  $\log p \approx \log q + 1$  bits.

# Let's go back to CRHFs...

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$$h_{g_1, g_2}(x_1, x_2) = g_1^{x_1} g_2^{x_2} \pmod{p}.$$

Why is this collision-resistant? Suppose there is an adversary that finds a collision  $(x_1, x_2)$  and  $(y_1, y_2)$ ...

# Let's go back to CRHFs...

$$h_{g_1, g_2}(x_1, x_2) = g_1^{x_1} g_2^{x_2} \bmod p.$$

Why is this collision-resistant? Suppose there is an adversary that finds a collision  $(x_1, x_2)$  and  $(y_1, y_2)$ ...

$$g_1^{x_1} g_2^{x_2} = g_1^{y_1} g_2^{y_2} \bmod p.$$

$$g_1^{x_1 - y_1} = g_2^{y_2 - x_2} \bmod p.$$

(assume wlog  $x_1 - y_1 \neq 0 \bmod q$ )

$$g_1 = g_2^{(y_2 - x_2)(x_1 - y_1)^{-1}} \bmod p. \quad \Rightarrow \quad DLOG_{g_2}(g_1)!$$

# Other Constructions of CRHFs

From the hardness of factoring, lattice problems etc.

Not known to follow from the existence of one-way functions or even one-way permutations...

“Black-box separations”: Certain ways of constructing CRHF from OWF/OWP cannot work.

“Finding collisions on a one-way street”, Daniel Simon, Eurocrypt 1998.

**Nevertheless, big open problem: OWF/OWP  $\Rightarrow$ ? CRHF?**



**So far, only one-time security...**

# Constructing a Signature Scheme

**Theorem** [Naor-Yung'89, Rompel'90]

(EUF-CMA-secure) Signature schemes exist assuming that one-way functions exist.

**TODAY:**

(EUF-CMA-secure) Signature schemes exist assuming that collision-resistant hash functions exist.

# (Many-time) Signature Scheme

In four+ steps

Step 1. Stateful, Growing Signatures. Idea: Signature *Chains*

Step 2. How to Shrink the signatures. Idea: Signature *Trees*

Step 3. How to Shrink Alice's storage.

Idea: *Pseudorandom Trees*

Step 4. How to make Alice stateless.

Idea: *Randomization*

Step 5 (*optional*). How to make Alice stateless and deterministic. Idea: *PRFs*.

# Step 1: Stateful Many-time Signatures

## Idea: Signature Chains.

Alice	$VK_0$

Alice starts with a secret signing Key  $SK_0$ .

When signing a message  $m_1$ :

Generate a new pair  $(VK_1, SK_1)$ .

Produce signature  $\sigma_1 \leftarrow \text{Sign}(SK_0, m_1 || VK_1)$

Output  $VK_1 || \sigma_1$ .

Remember  $VK_1 || m_1 || \sigma_1$  as well as  $SK_1$ .

To verify a signature  $VK_1 || \sigma_1$  for message  $m_1$ :

Run  $\text{Verify}(VK_0, m_1 || VK_1, \sigma_1)$

# Step 1: Stateful Many-time Signatures

**Idea: Signature Chains.**

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Output  $VK_1 || \sigma_1$ .

Remember  $VK_1 || m_1 || \sigma_1$  as well as  $SK_1$ .

$$VK_0 \xrightarrow[\sigma_1]{m_1} VK_1$$

# Step 1: Stateful Many-time Signatures

Idea: Signature Chains.

Alice	$VK_0$

Alice starts with a secret signing Key  $SK_0$ .

When signing the next message  $m_2$ :

Generate a new pair  $(VK_2, SK_2)$ .

Produce signature  $\sigma_2 \leftarrow \text{Sign}(SK_1, m_2 || VK_2)$

Output  $VK_2 || \sigma_2 ??$

$$VK_0 \xrightarrow[\sigma_1]{m_1} VK_1$$

# Step 1: Stateful Many-time Signatures

Idea: Signature Chains.

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Output  $VK_1 || VK_2 || \sigma_2 ??$

$$VK_0 \xrightarrow[\sigma_1]{m_1} VK_1$$

# Step 1: Stateful Many-time Signatures

Idea: Signature Chains.

Alice	$VK_0$

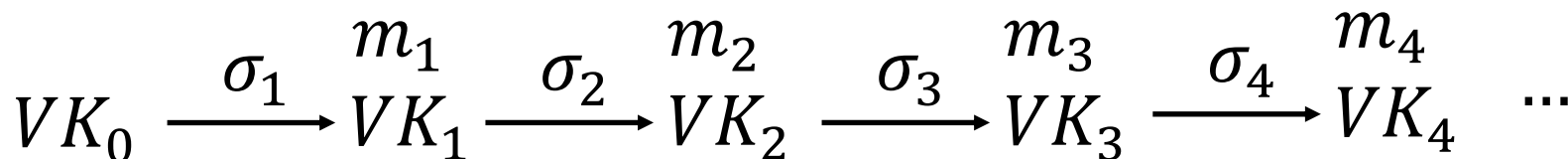
Alice starts with a secret signing Key  $SK_0$ .

When signing the next message  $m_2$ :

Generate a new pair  $(VK_2, SK_2)$ .

Produce signature  $\sigma_2 \leftarrow \text{Sign}(SK_1, m_2 || VK_2)$

Output  $VK_1 || m_1 || \sigma_1 || VK_2 || \sigma_2$ .





# Step 1: Stateful Many-time Signatures

## Idea: Signature Chains.

Alice	$VK_0$

Alice starts with a secret signing Key  $SK_0$ .

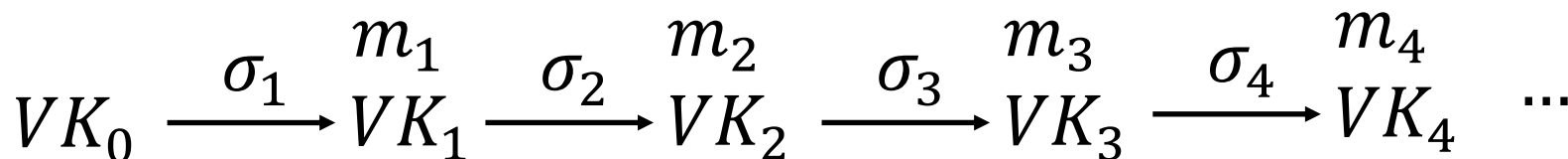
When signing **the next message  $m_2$** :

Generate a new pair  $(VK_2, SK_2)$ .

Produce signature  $\sigma_2 \leftarrow \text{Sign}(SK_1, m_2 || VK_2)$

Output  $VK_1 || m_1 || \sigma_1 || VK_2 || \sigma_2$ .

(additionally) remember  $VK_2 || m_2 || \sigma_2$  as well as  $SK_2$

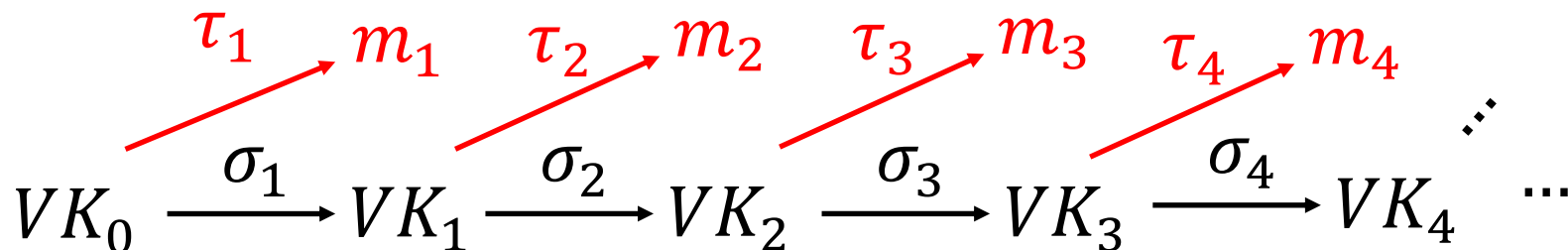


# Step 1: Stateful Many-time Signatures

Idea: Signature Chains.

*An optimization:* Need to remember only the past verification keys, not the past messages.

Use (part of)  $VK_i$  to sign  $m_{i+1}$  and the rest to sign  $VK_{i+1}$ .

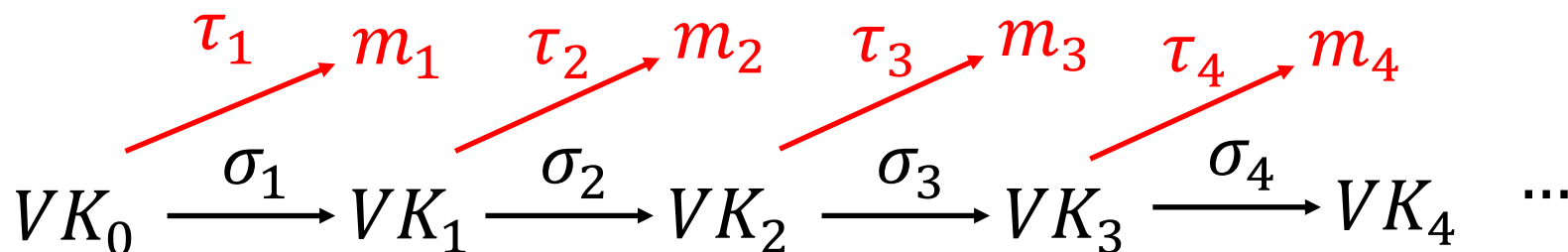


# Step 1: Stateful Many-time Signatures

## Idea: Signature Chains.

Two major problems:

1. *Alice is stateful*: Alice needs to remember a whole lot of things,  $O(T)$  information after  $T$  steps.
2. *The signatures grow*: Length of the signature of the  $T$ -th message is  $O(T)$ .



# (Many-time) Signature Scheme

In four+ steps

Step 1. Stateful, Growing Signatures. Idea: Signature *Chains*

Step 2. How to Shrink the signatures. Idea: Signature *Trees*

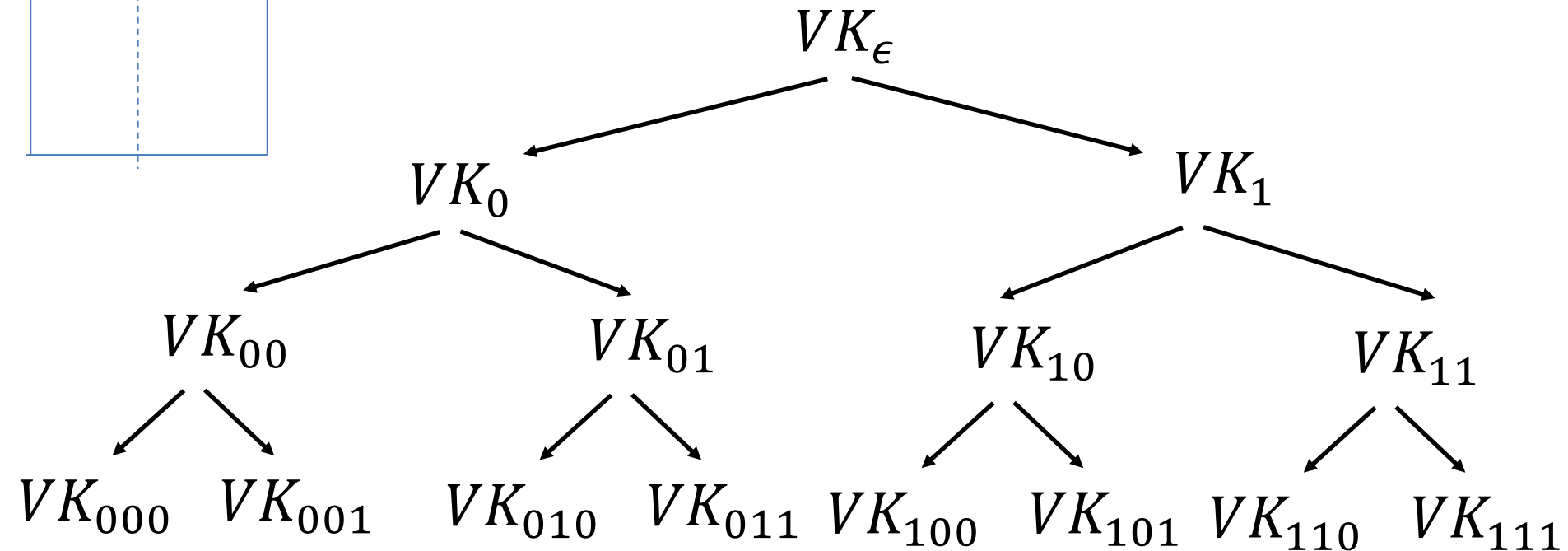
Alice	$VK_{\epsilon}$

**Step 2.** How to Shrink the signatures.

$VK_{\epsilon}$

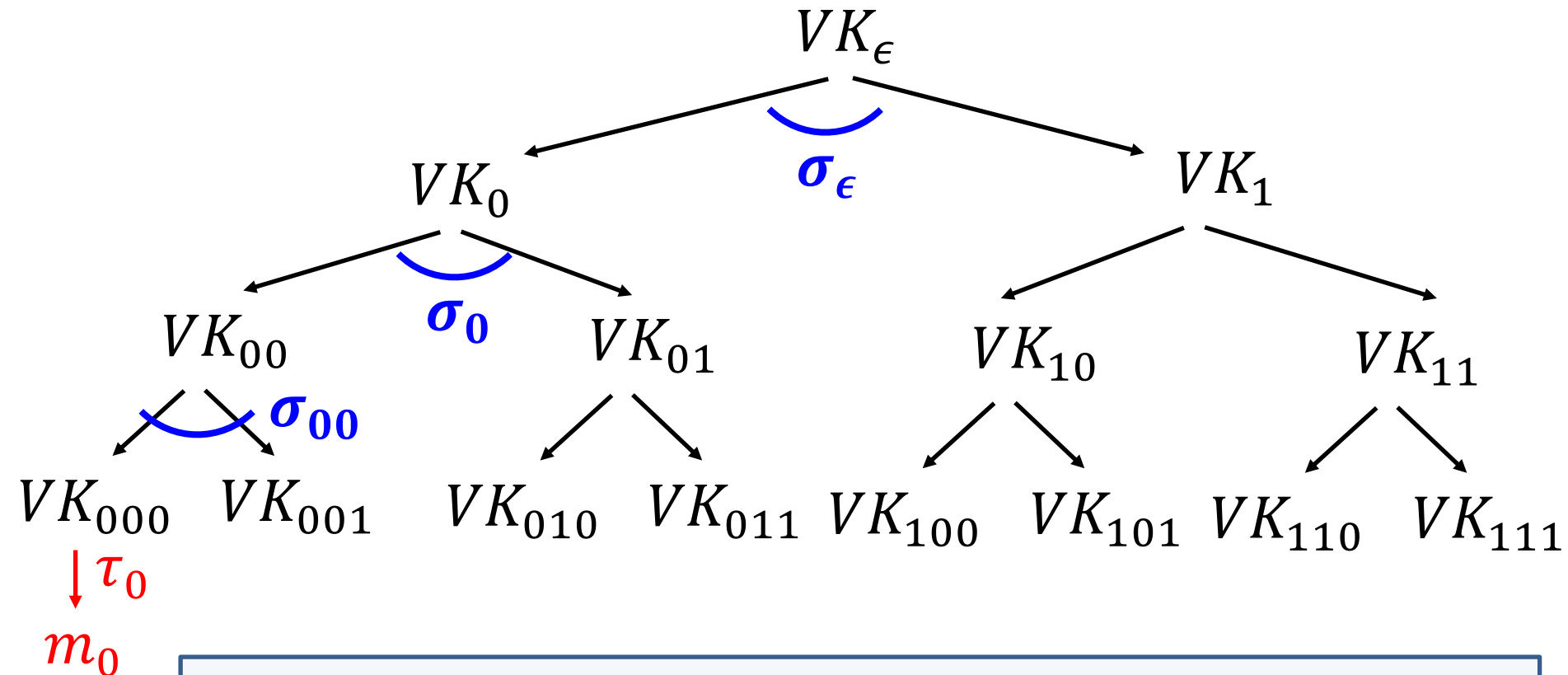
Alice	$VK_\epsilon$

## Step 2. How to Shrink the signatures.



Alice (the *stateful* signer) computes many  $(VK, SK)$  pairs and arranges them in a tree of depth = sec. param.  $\lambda$

## Step 2. How to Shrink the signatures.

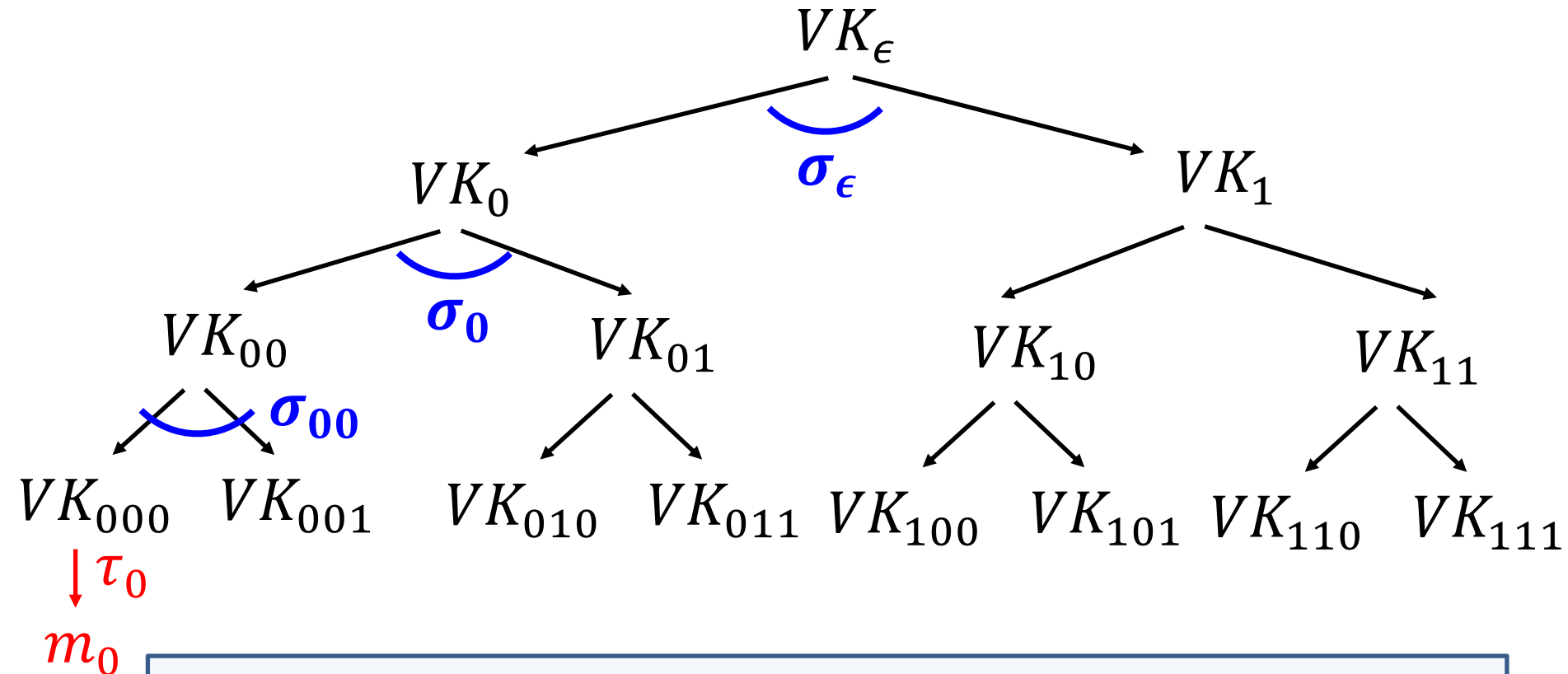


**Signature of the first message  $m_0$ :**

Use  $VK_{000}$  to sign  $m_0$ .

“Authenticate”  $VK_{000}$  using the “signature path”.

## Step 2. How to Shrink the signatures.

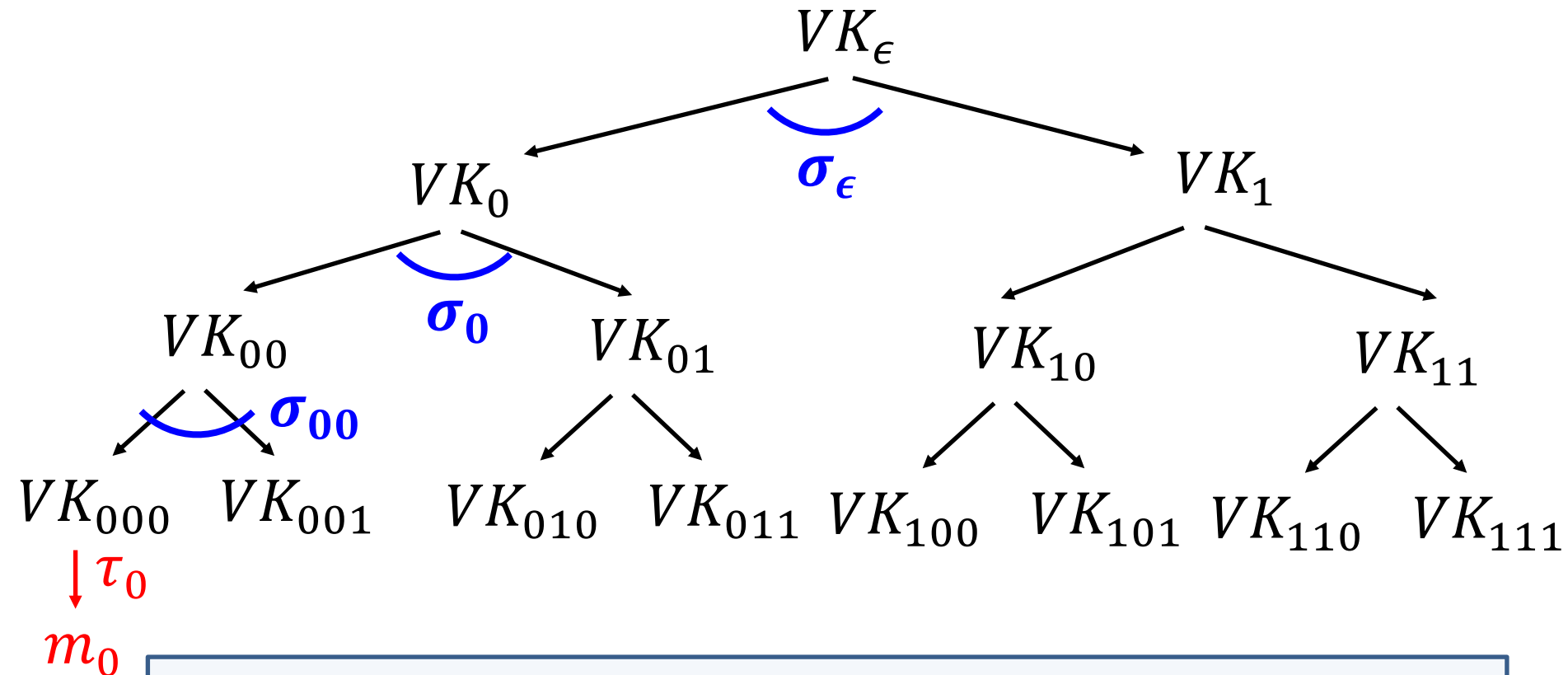


**Signature of the first message  $m_0$ :**

$$\left( \sigma_\epsilon \leftarrow \text{Sign}(SK_\epsilon, VK_0 || VK_1), \sigma_0 \leftarrow \text{Sign}(SK_0, VK_{00} || VK_{01}), \right. \\ \left. \sigma_{00} \leftarrow \text{Sign}(SK_{00}, VK_{000} || VK_{001}), \tau_0 \leftarrow \text{Sign}(SK_{000}, m_0) \right)$$



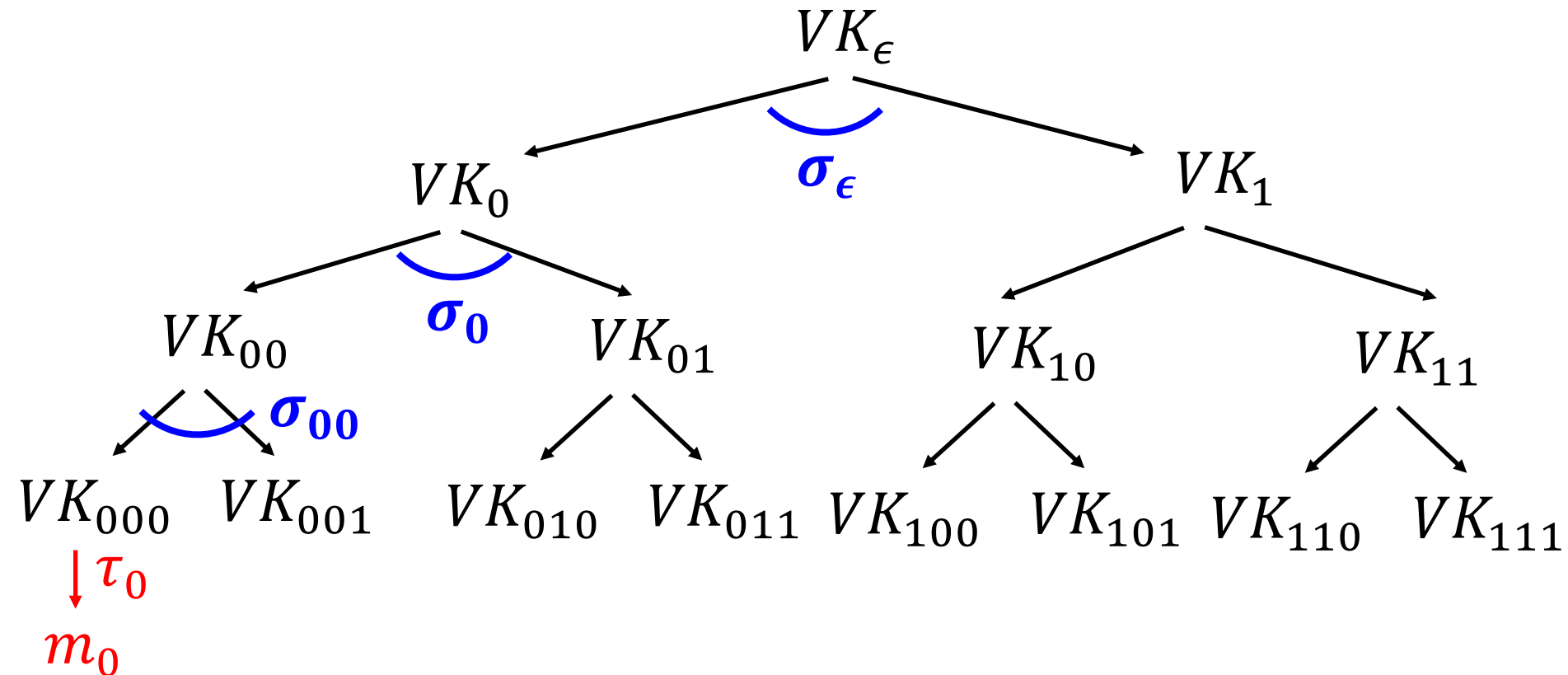
## Step 2. How to Shrink the signatures.



**Authentication Path for  $VK_{000}$ :**

$(\sigma_\epsilon \leftarrow \text{Sign}(SK_\epsilon, VK_0 || VK_1), \sigma_0 \leftarrow \text{Sign}(SK_0, VK_{00} || VK_{01}),$   
 $\sigma_{00} \leftarrow \text{Sign}(SK_{00}, VK_{000} || VK_{001}))$

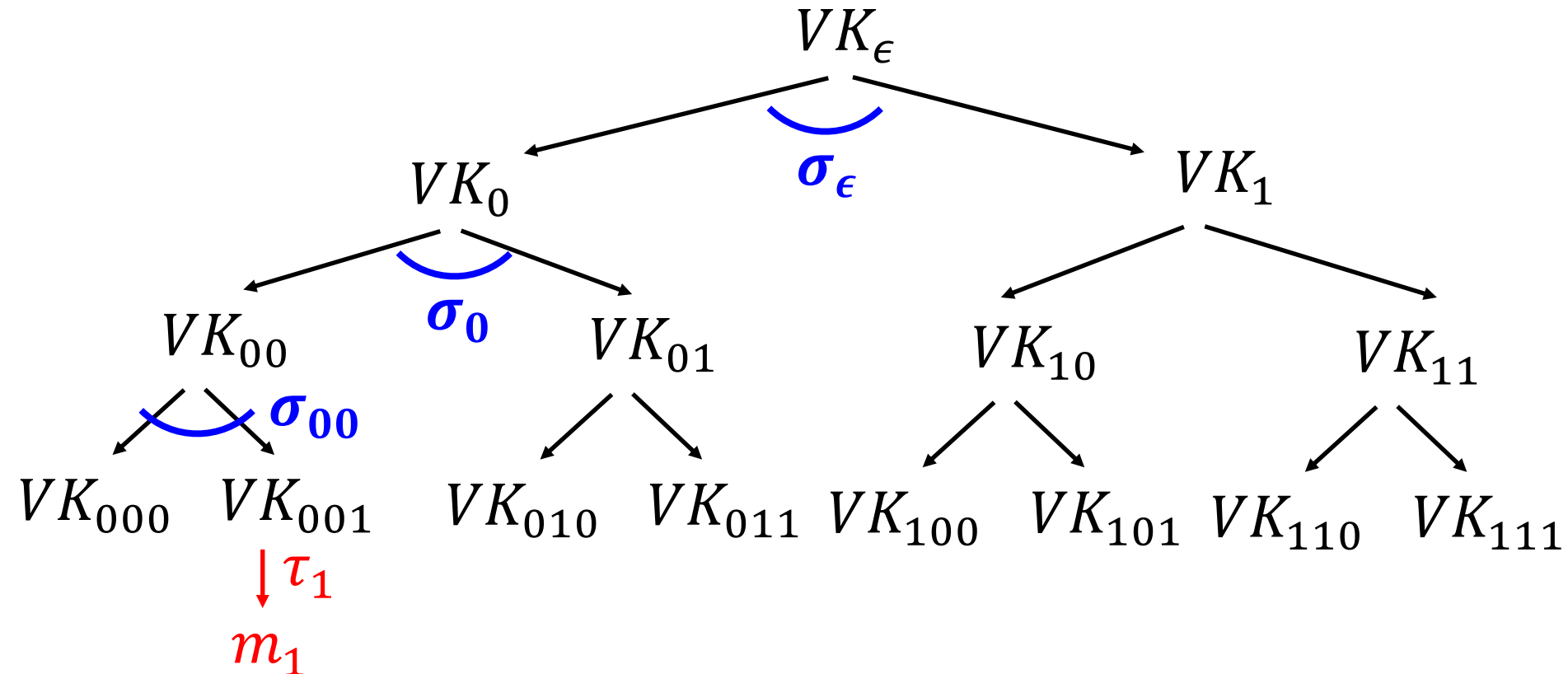
## Step 2. How to Shrink the signatures.



**Signature of the first message  $m_0$ :**

(Authentication path for  $VK_{000}$ ,  $\tau_0 \leftarrow \text{Sign}(SK_{000}, m_0)$ )

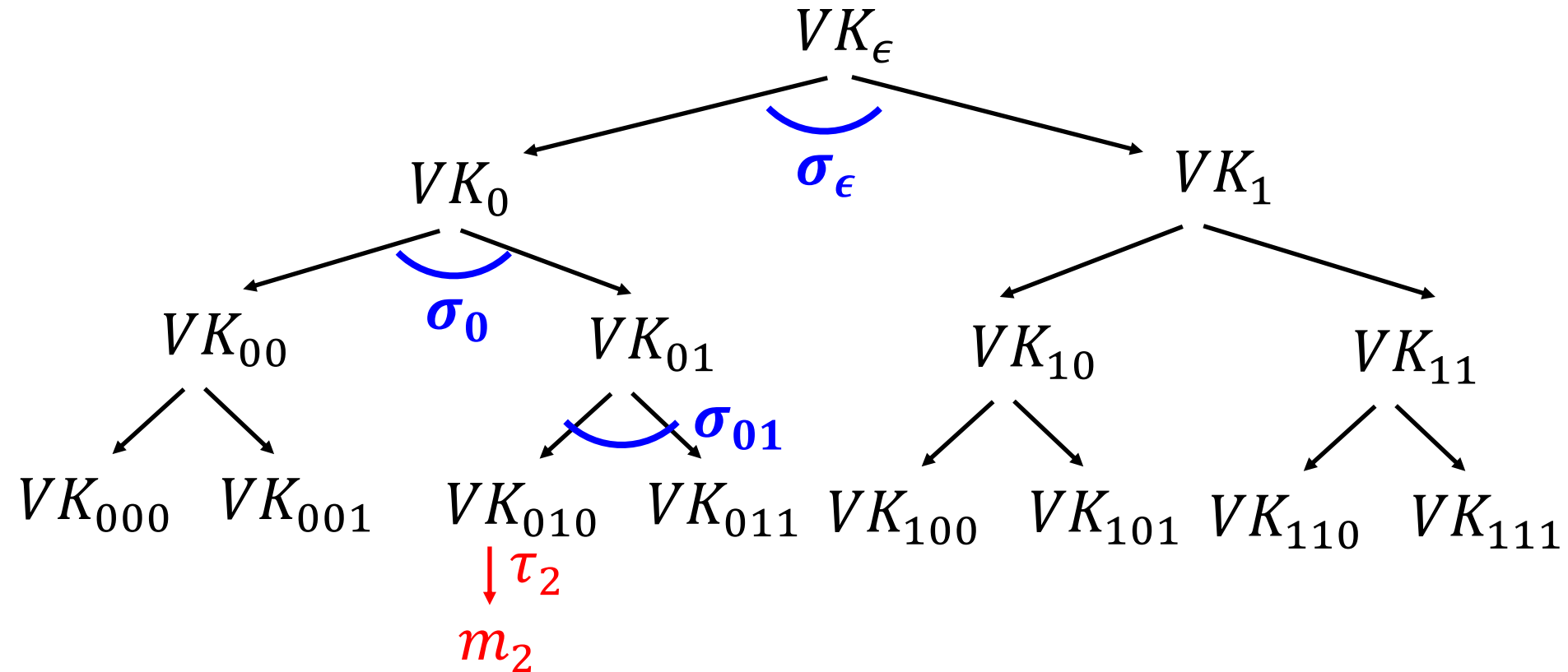
## Step 2. How to Shrink the signatures.



**Signature of the second message  $m_1$ :**

(Authentication path for  $VK_{001}$ ,  $\tau_0 \leftarrow \text{Sign}(SK_{001}, m_1)$ )

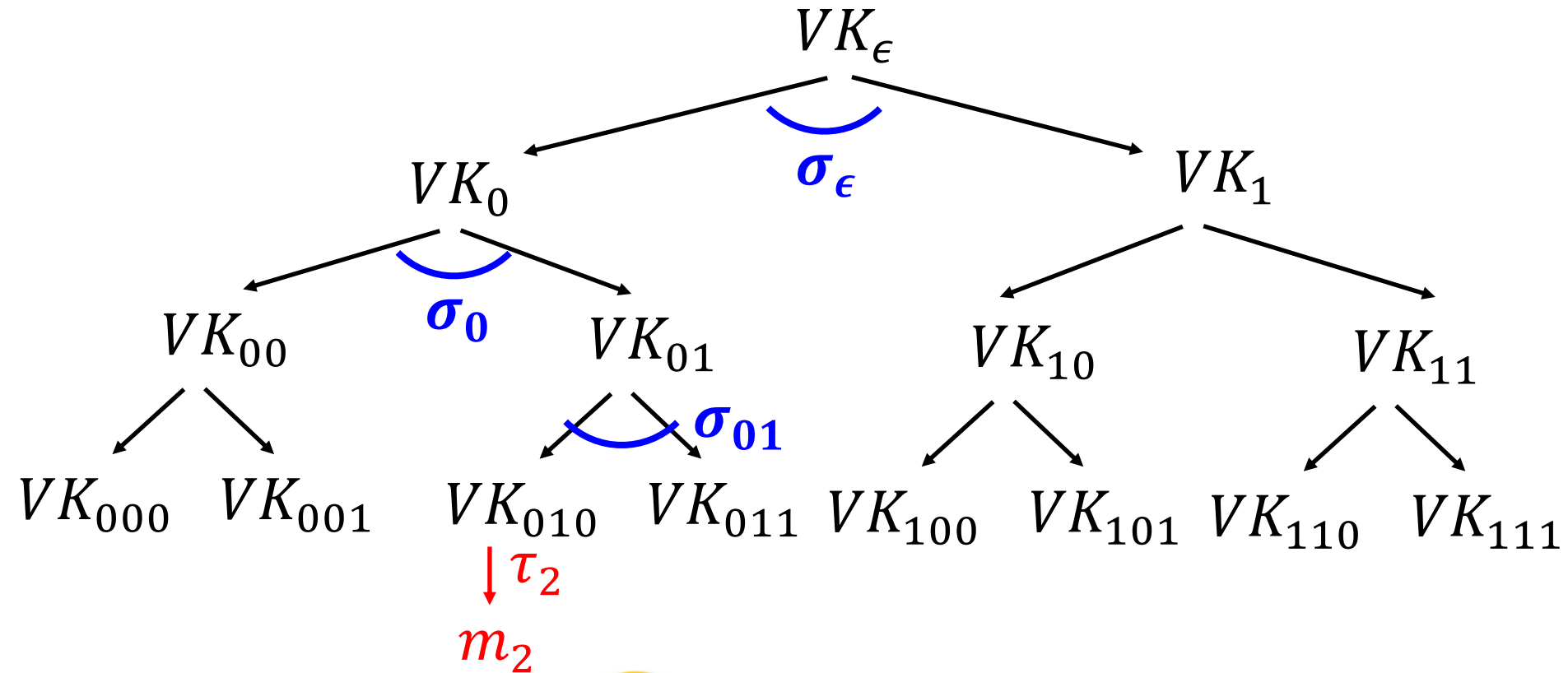
## Step 2. How to Shrink the signatures.



**Signature of the third message  $m_2$ :**

(Authentication path for  $VK_{010}$ ,  $\tau_2 \leftarrow \text{Sign}(SK_{010}, m_2)$ )

## Step 2. How to Shrink the signatures.

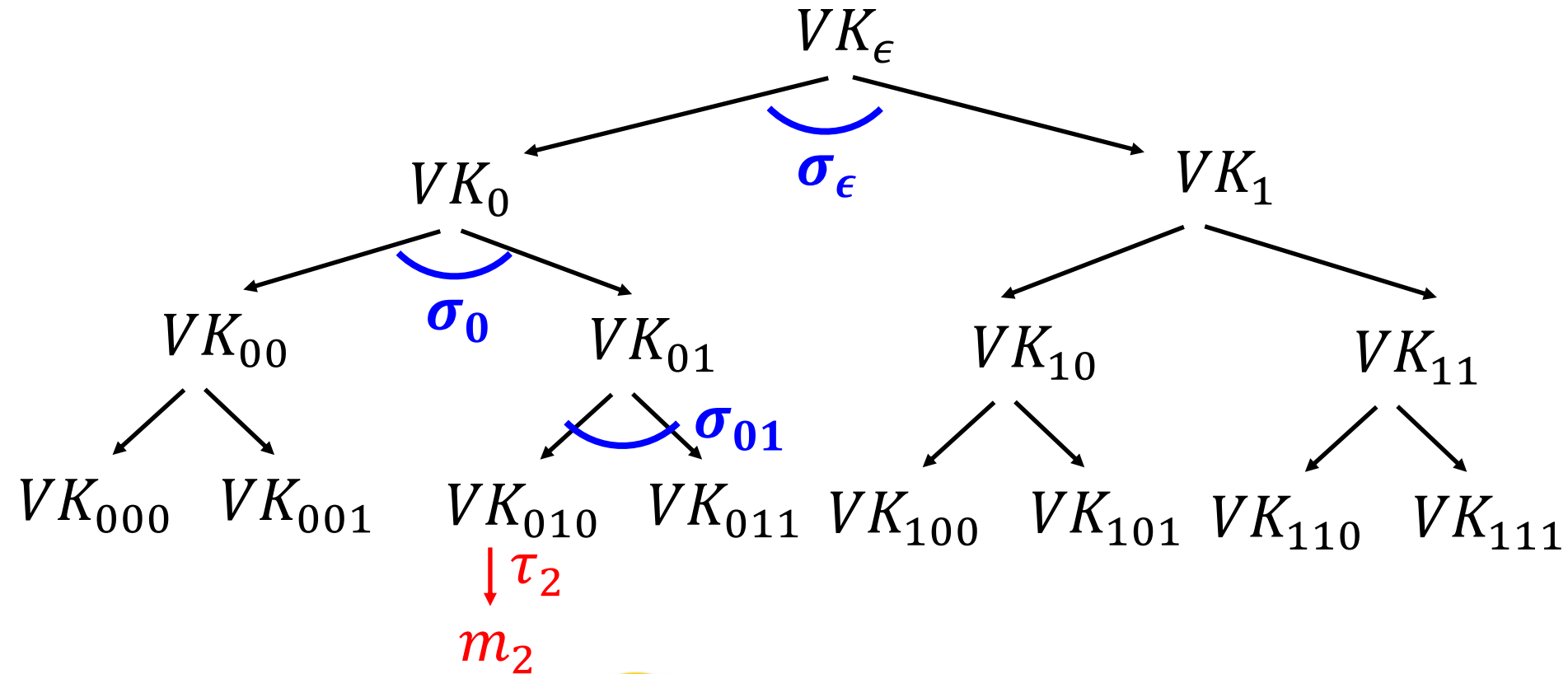


**GOOD NEWS:**



Each verification key (incl. at the leaves) is used only once, so one-time security suffices!

## Step 2. How to Shrink the signatures.

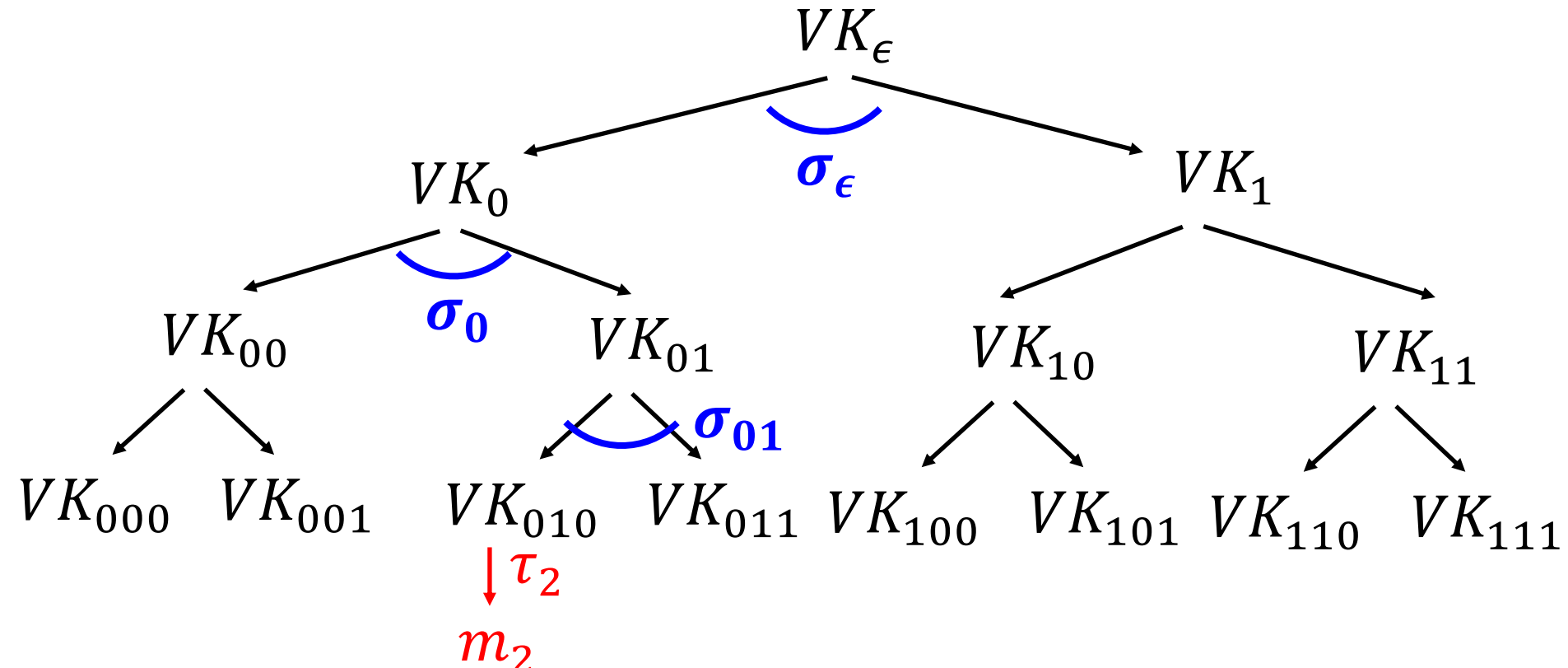


**GOOD NEWS:**



Signatures consist of  $\lambda$  one-time signatures and do not grow with time!

## Step 2. How to Shrink the signatures.



**BAD NEWS:**



Signer generates and keeps the entire ( $\approx 2^\lambda$ -size) signature tree in memory!

# (Many-time) Signature Scheme

In four+ steps

Step 1. Stateful, Growing Signatures. Idea: Signature *Chains*

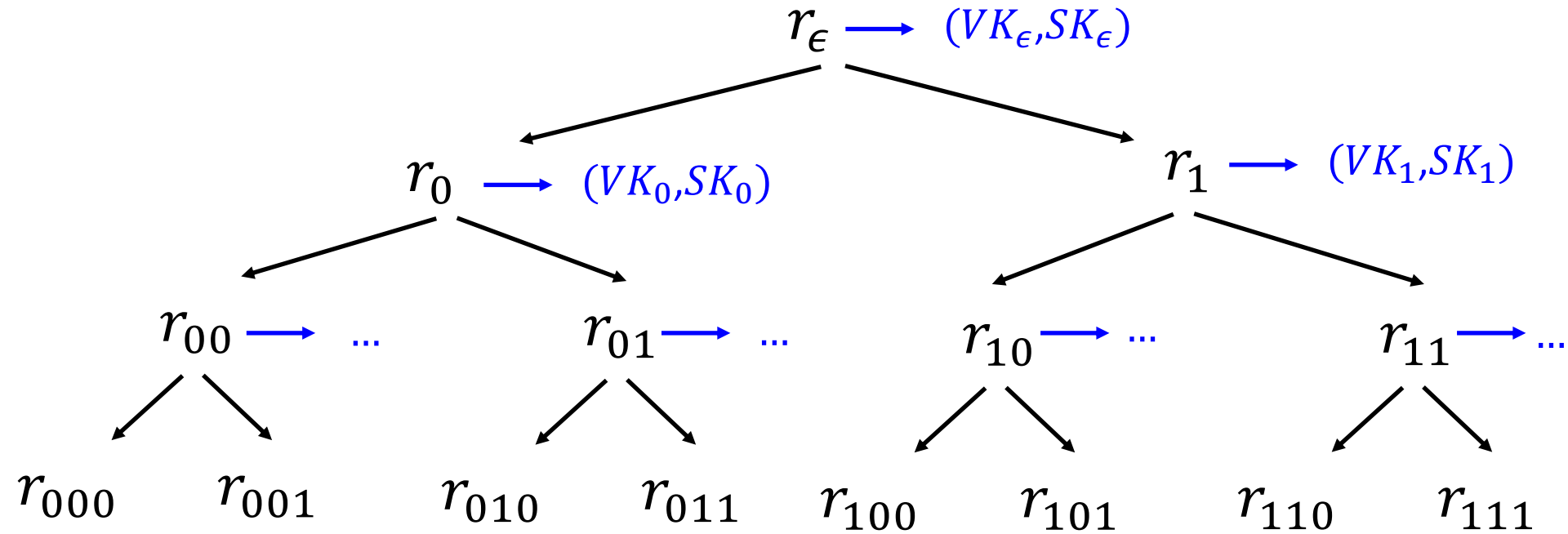
Step 2. How to Shrink the signatures. Idea: Signature *Trees*

Step 3. How to Shrink Alice's storage.

Idea: *Pseudorandom Trees*



### Step 3. Pseudorandom Signature Trees.



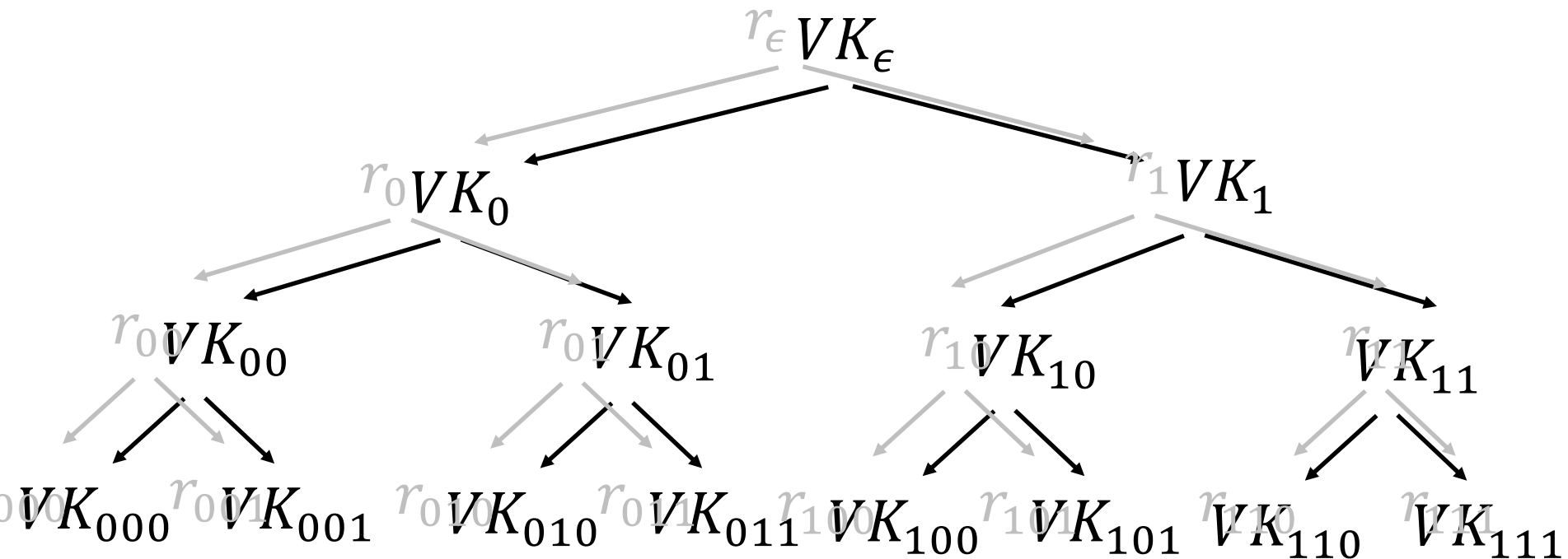
#### Tree of pseudorandom values:

The signing key is a PRF key  $K$ .

Populate the nodes with  $r_x = PRF(K, x)$ .

Use  $r_x$  to derive the keys  $(VK_x, SK_x) \leftarrow Gen(1^\lambda; r_x)$ .

### Step 3. Pseudorandom Signature Trees.



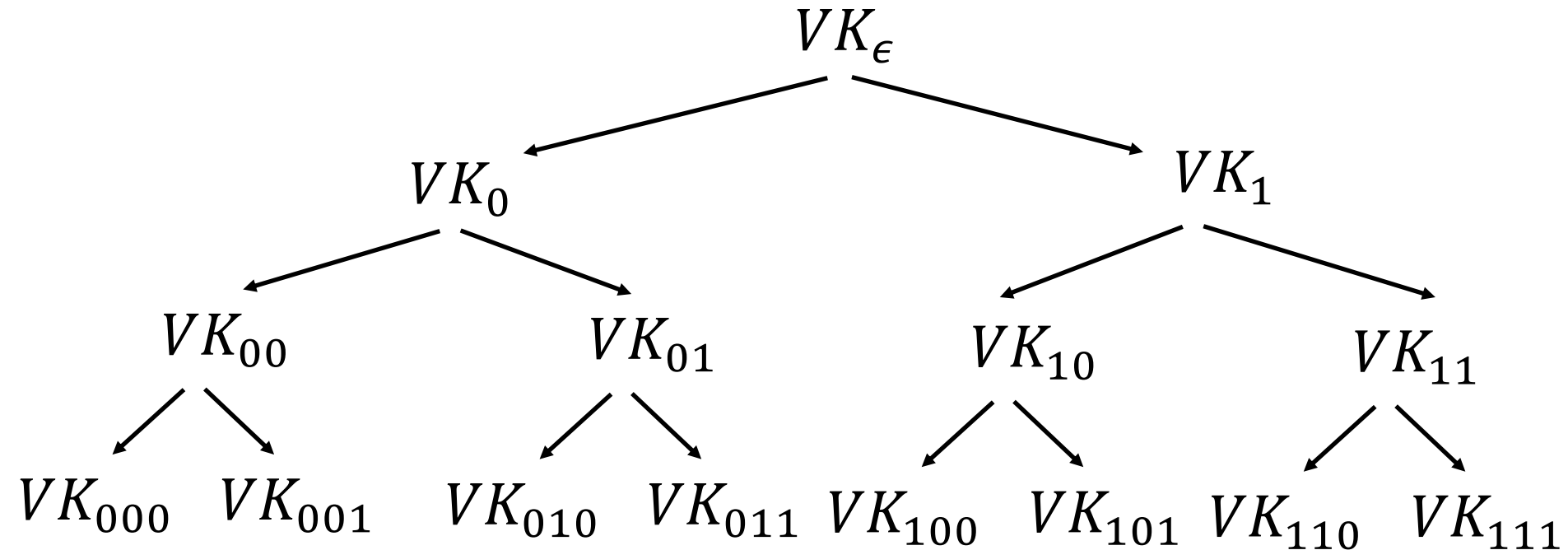
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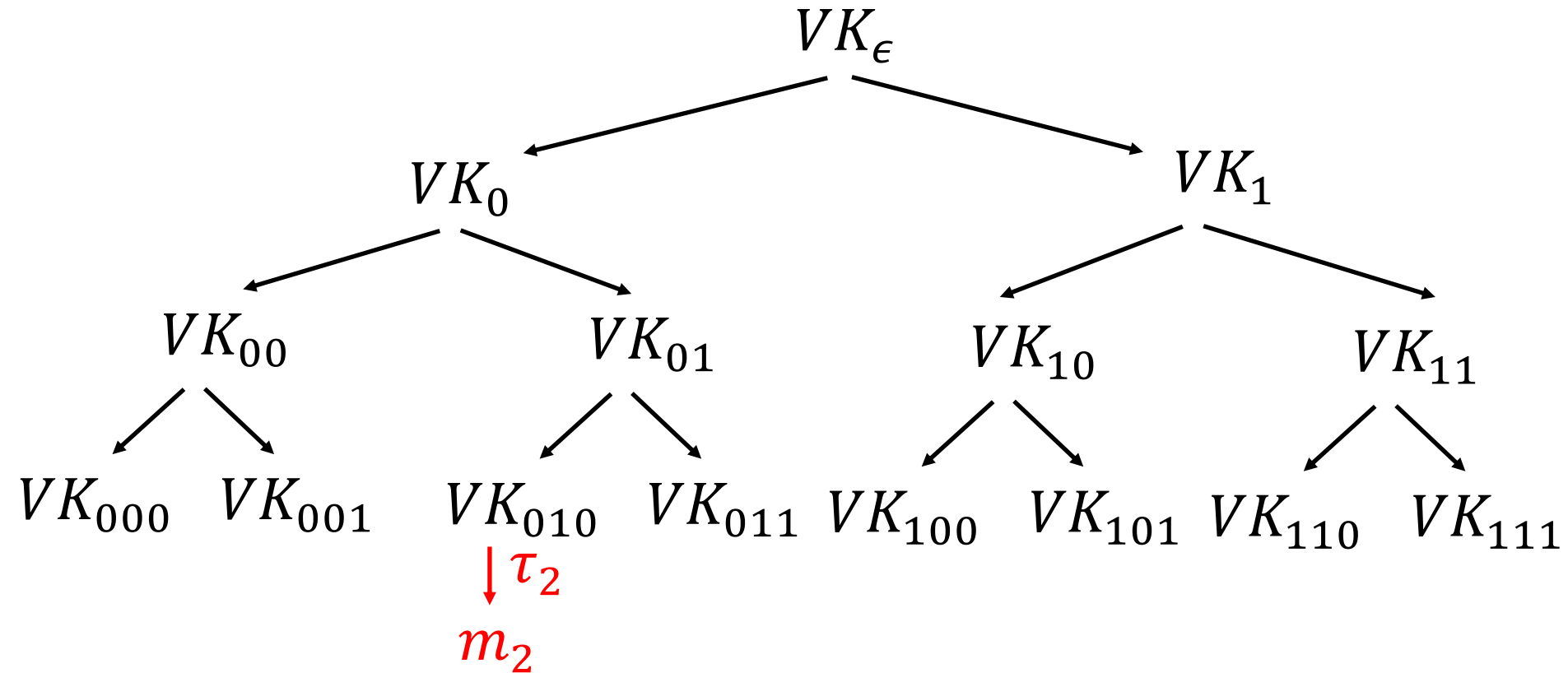
### Step 3. Pseudorandom Signature Trees.



**GOOD NEWS:** 

Short signatures and small storage for the signer

### Step 3. Pseudorandom Signature Trees.



**BAD NEWS:** 

Signer needs to keep a counter indicating which *leaf* (which tells her which secret key) to use next.

# (Many-time) Signature Scheme

In four+ steps

Step 1. Stateful, Growing Signatures. Idea: Signature *Chains*

Step 2. How to Shrink the signatures. Idea: Signature *Trees*

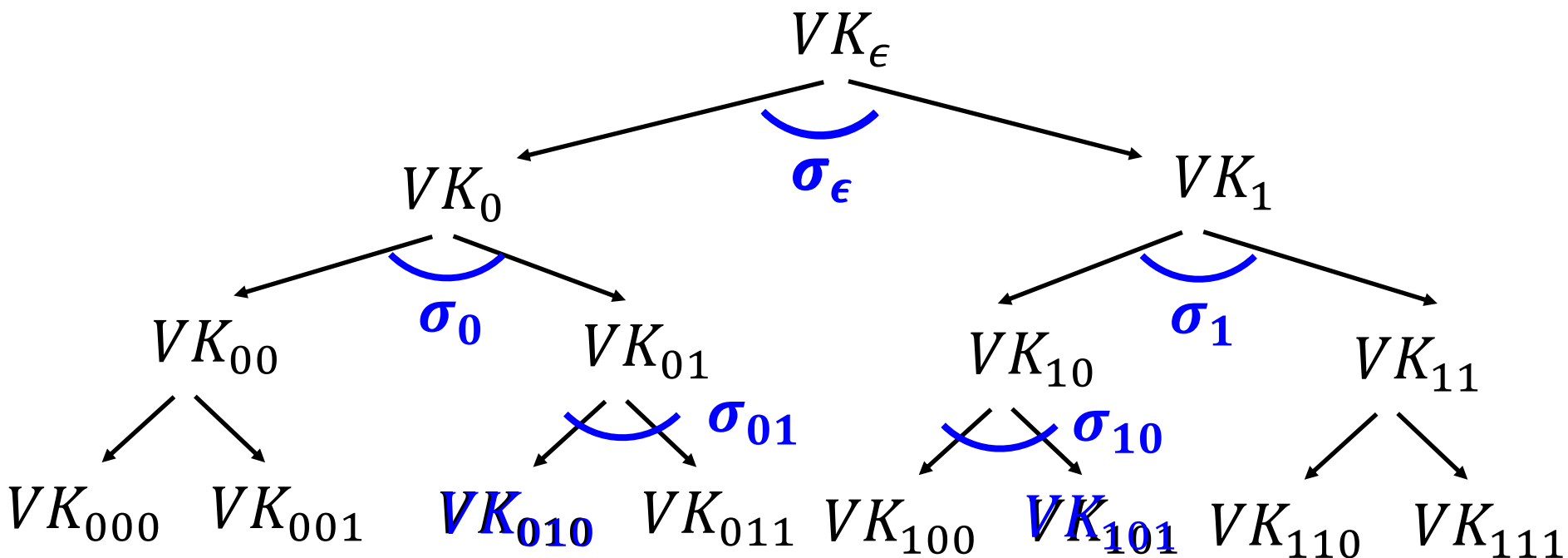
Step 3. How to Shrink Alice's storage.

Idea: *Pseudorandom Trees*

Step 4. How to make Alice stateless.

Idea: *Randomization*

## Step 4. Statelessness via Randomization



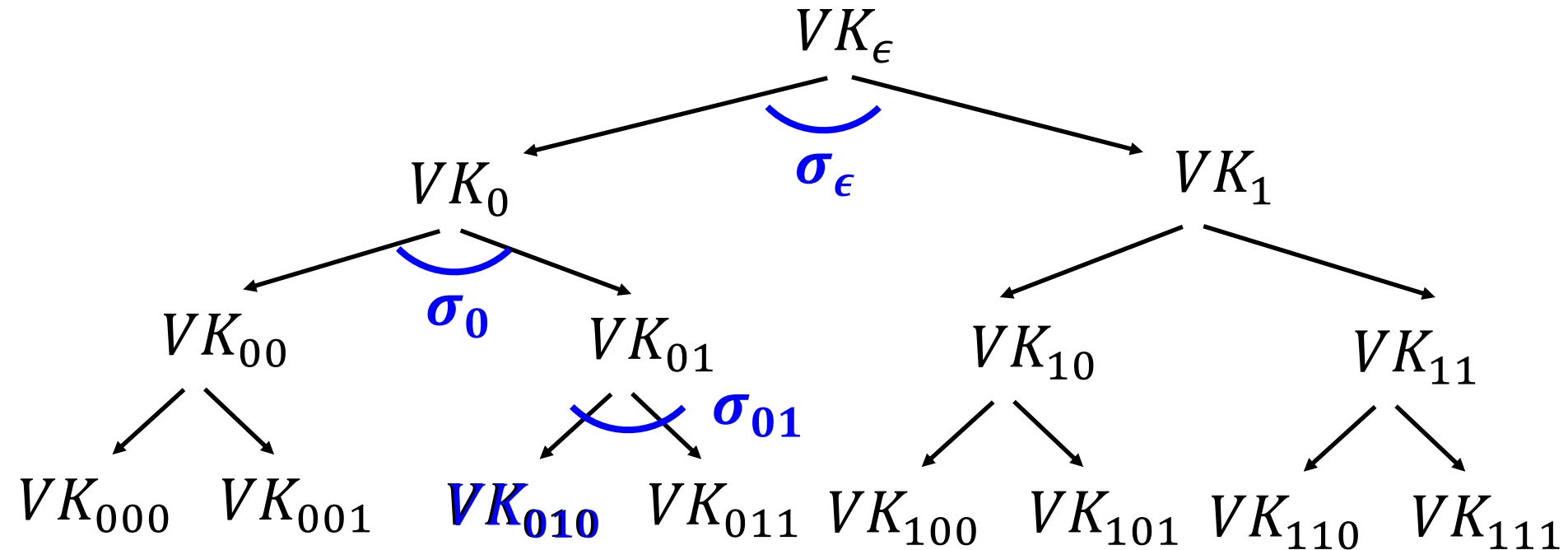
### Signature of a message $m$ :

Pick a **random** leaf  $r$ . Use  $VK_r$  to sign  $m$ .

$$\sigma_r \leftarrow \text{Sign}(SK_r, m)$$

Output  $(r, \sigma_r, \text{authentication path for } VK_r)$

## Step 4. Statelessness via Randomization

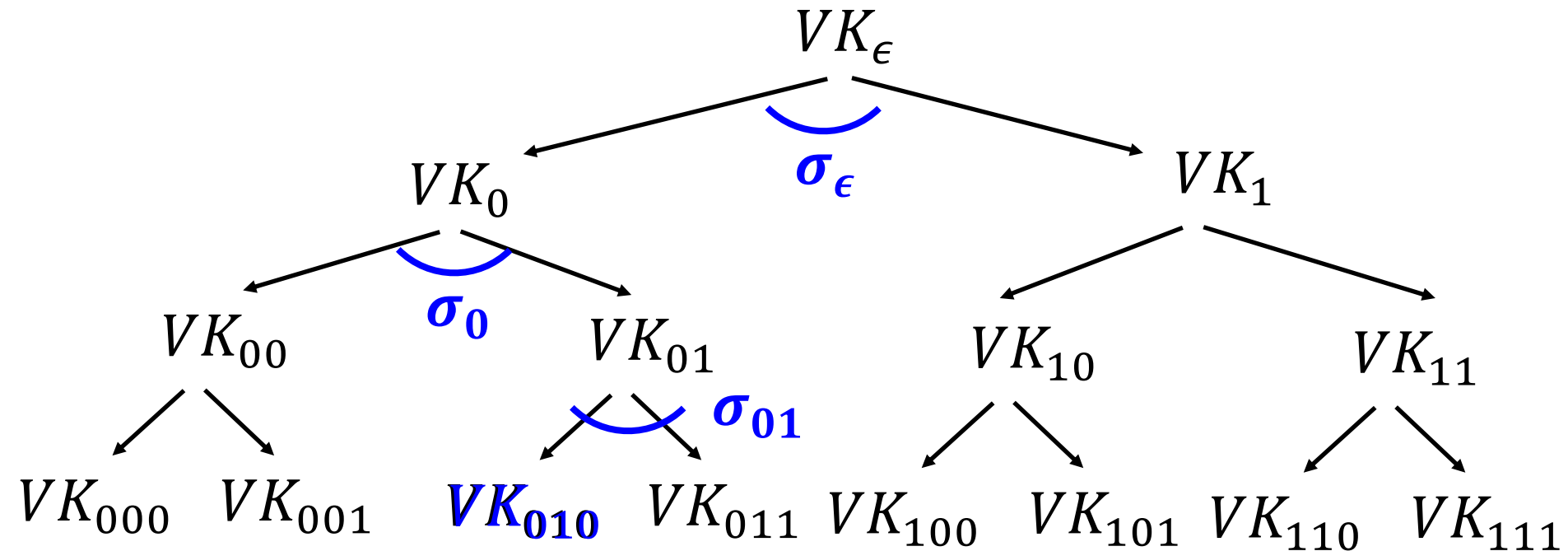


**GOOD NEWS:**



No need to keep state.

## Step 4. Statelessness via Randomization



### Key Idea:

If the signer produces  $q$  signatures, the probability she picks the same leaf twice is  $\leq q^2 / 2^\lambda$ .



# (Many-time) Signature Scheme

In four+ steps

Step 1. Stateful, Growing Signatures. Idea: Signature *Chains*

Step 2. How to Shrink the signatures. Idea: Signature *Trees*

Step 3. How to Shrink Alice's storage.

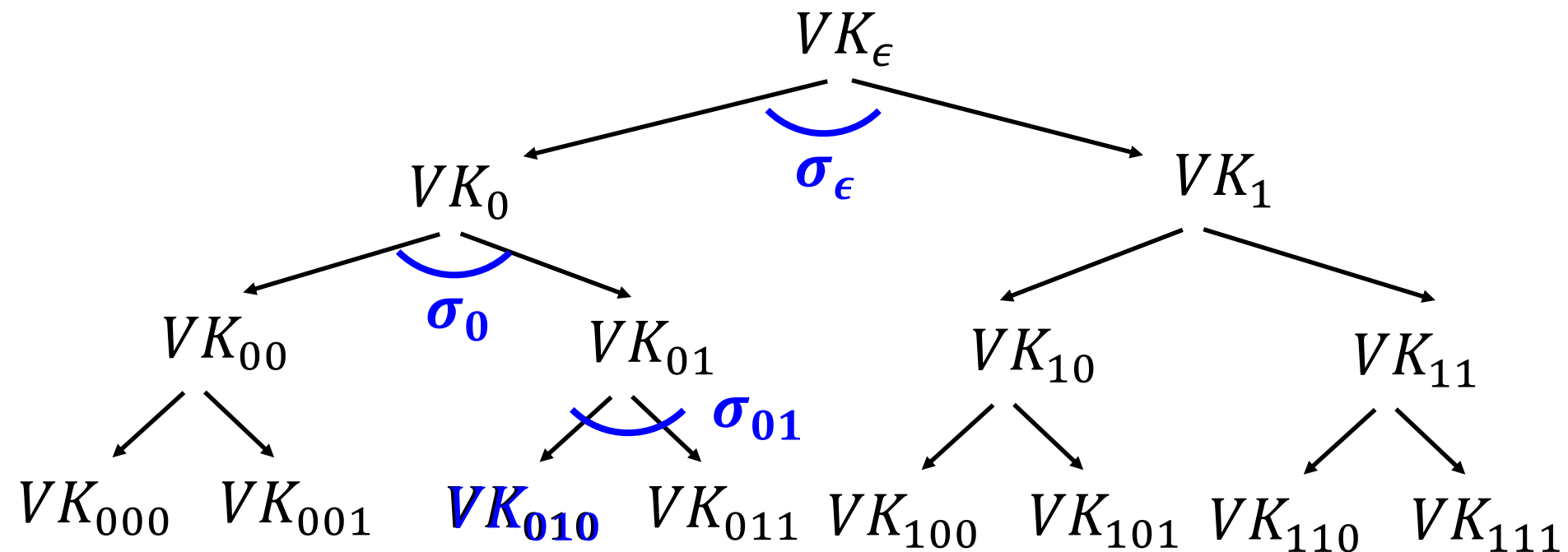
Idea: *Pseudorandom Trees*

Step 4. How to make Alice stateless.

Idea: *Randomization*

Step 5 (*optional*). How to make Alice stateless and deterministic. Idea: *PRFs*.

## Step 5. Making the Signer Deterministic.



### Key Idea:

Generate  $r$  pseudo-randomly.

Have another PRF key  $K'$  and let  $r = PRF(K', \blacksquare)$

**That's it for the construction.**