## MIT 6.875/6.5620/18.425

## Foundations of Cryptography Lecture 1

Course website: https://mit6875.github.io/

#### **Course Staff**

#### **Instructor:**

#### Vinod Vaikuntanathan (vinodv@mit)

#### **TAs:**



Neekon Vafa (nvafa@mit)



Hanshen Xiao (hsxiao@mit)



Chirag Falor (cfalor@mit)

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#### MIT 6.5620/6.875/18.425 (Fall 2023) Foundations of Cryptography

#### **Course Description**

The field of cryptography gives us a technical language to *define* important real-world problems such as security, privacy and integrity, a mathematical toolkit to *construct* mechanisms such as encryption, digital signatures, zero-knowledge proofs, homomorphic encryption and secure multiparty computation, and a complexity-theoretic framework to *prove* security using reductions. Together, they help us *enforce the rules of the road* in digital interactions.

The last few years have witnessed dramatic developments in the foundations of cryptography, as well as its applications to real-world privacy and security problems. For example, cryptography is abuzz with solutions to long-standing open problems such as fully homomorphic encryption and software obfuscation that use an abundance of data for public good without compromising security.

The course will explore the rich theory of cryptography all the way from the basics to the recent developments.

**Prerequisites:** This is an introductory, but fast-paced, graduate course, intended for beginning graduate students and upper level undergraduates in CS and Math. We will assume fluency in algorithms (equivalent to 6.046), complexity theory (equivalent to 6.045) and discrete probability (equivalent to 6.042). Mathematical maturity and an ease with writing mathematical proofs will be assumed starting from the first lecture.

#### **Course Information**

INSTRUCTOR	Vinod Vaikuntanathan Email: vinodv at csail dot mit dot edu
LOCATION AND TIME	Monday and Wednesday 1:00-2:30pm in 1-190
TAs	<b>Chirag Falor</b> Email: cfalor at mit dot edu Office hours: TBD. <b>Neekon Vafa</b> Email: nvafa at mit dot edu Office hours: TBD.
	Hanshen Xiao Email: hsxiao at mit dot edu Office hours: TBD

## $\textbf{Crypto} \neq \textbf{Cryptocurrencies}$

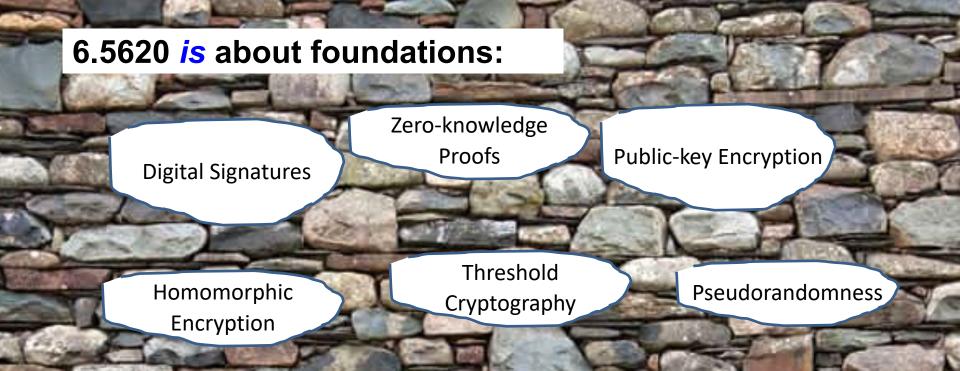
6.5620 is *not* about

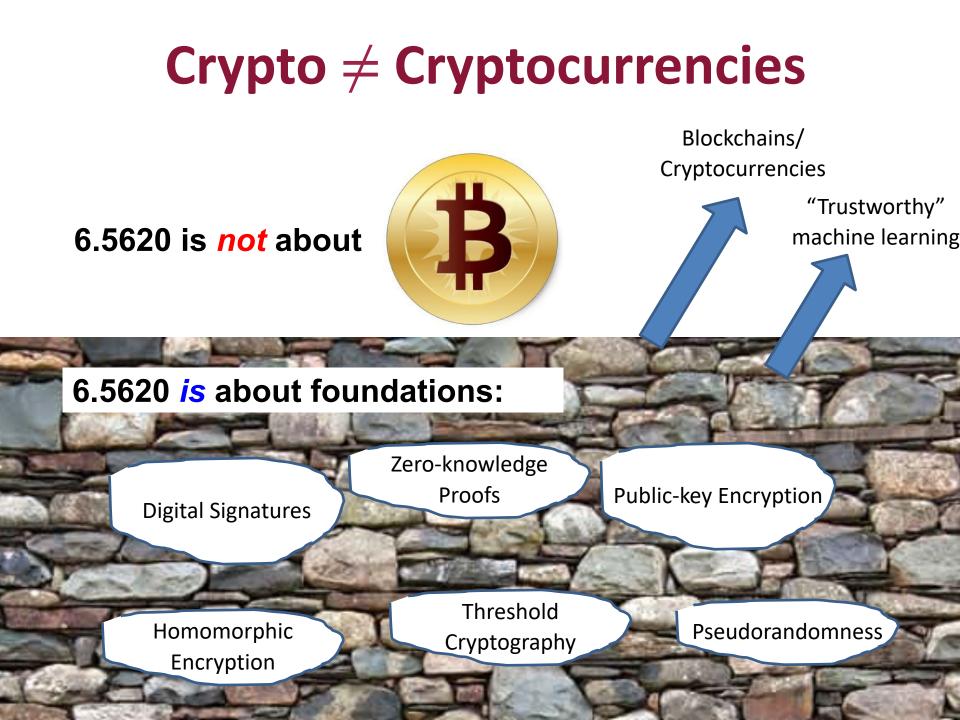


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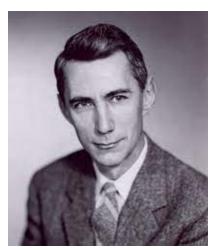
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## **The Intellectual Origins**

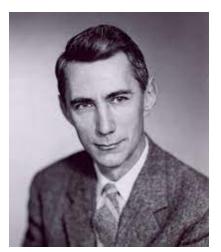


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Cryptanalysis of the Enigma Machine (~1938-39)

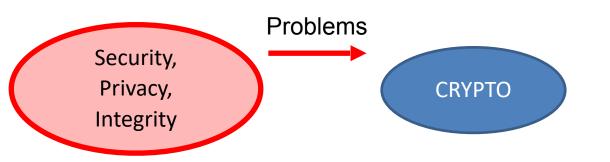
"On Computable Numbers, with an Application to the Entscheidungsproblem" (1936)

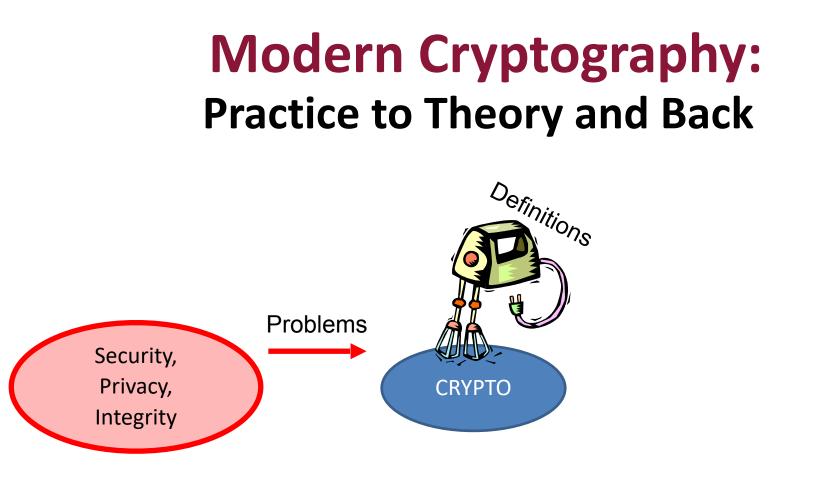
which gave birth to Computer Science

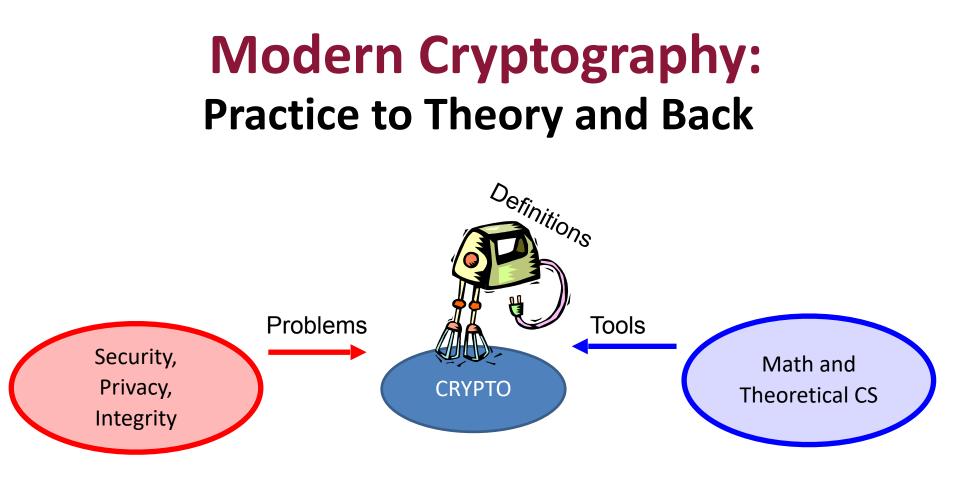


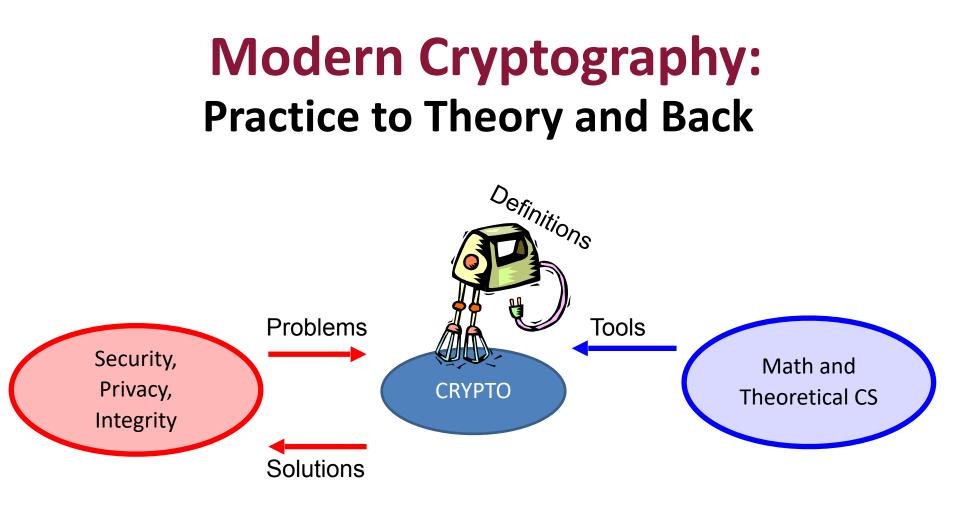
Alan M. Turing

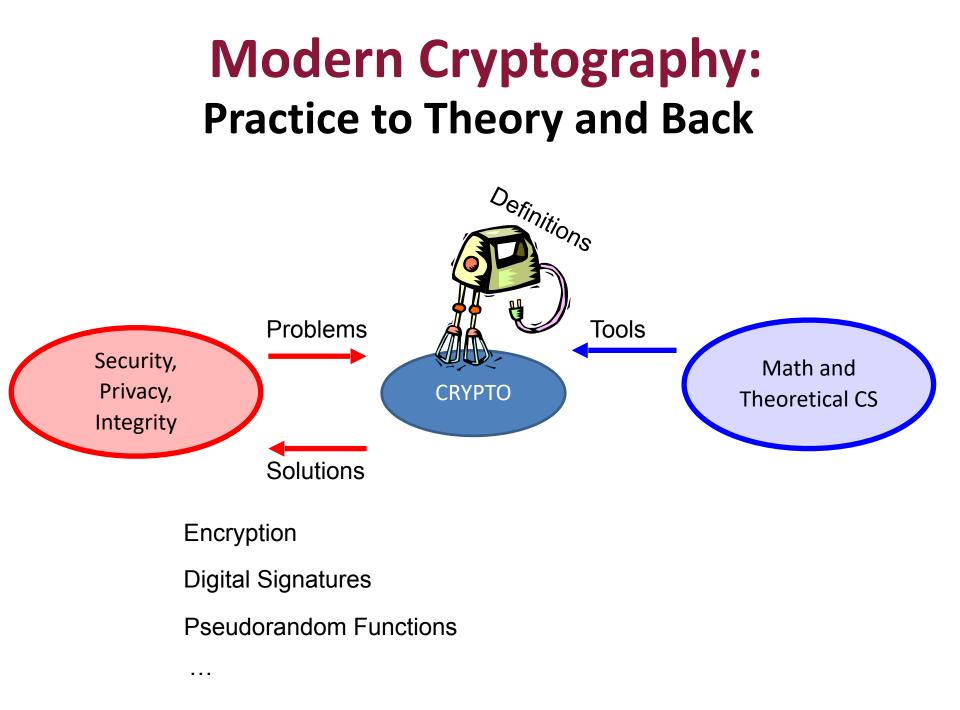
### **Modern Cryptography:** Practice to Theory and Back

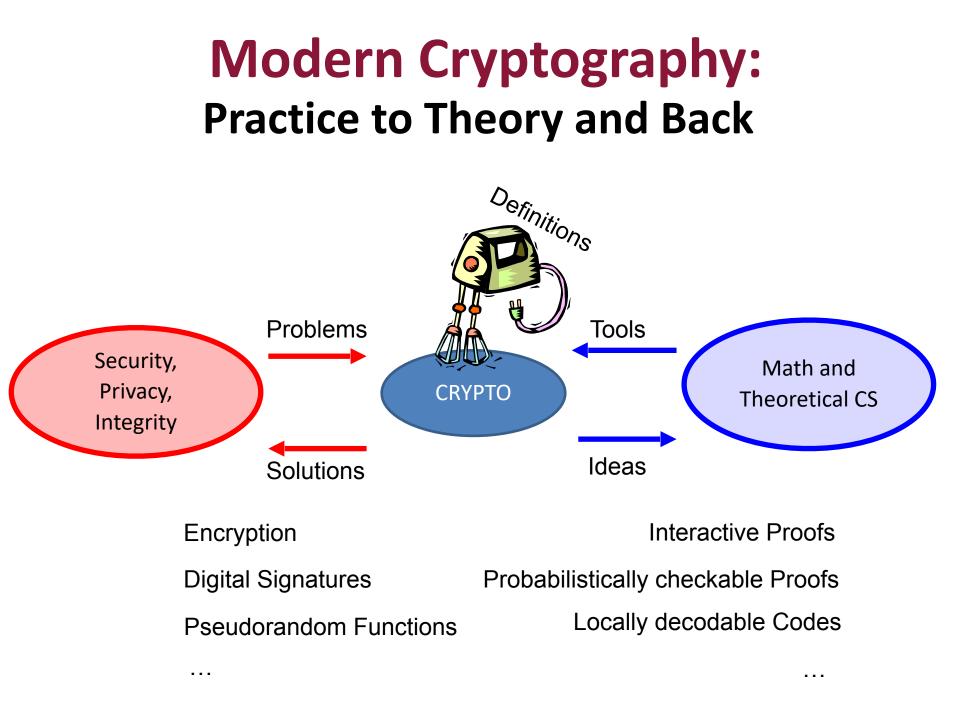












#### 1. The Omnipresent, Worst-case, Adversary.



Central idea. model the adversary: what they know, what they can do, and what their goals are.

Definitions will be our friend.

If you cannot define something, you cannot achieve it.

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A key takeaway from 6.875:

Cryptographic (or, adversarial) thinking.

# **2. Computational Hardness will be our enabler.** *(starting lecture 2)*

*Central theme: the cryptographic leash*. Use computational hardness to "tame" the adversary.

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A classical source of hard problems: number theory.

"Both Gauss and lesser mathematicians may be justified in rejoicing that there is one such science [number theory] at any rate, whose very remoteness from ordinary human activities should keep it gentle and clean"

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More recently: geometry, coding theory, combinatorics.

Cryptography is the science of useful hardness.

#### **6.875** Themes

#### **3. Security Proofs via Reductions.**

"If there is an (efficient) adversary that breaks scheme A w.r.t. definition D, then there is an (efficient) adversary that factors large numbers."

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*Our reductions will be probabilistic and significantly more involved than the NP-hardness reductions in, say, 6.045.* 

### **6.875** Topics

- Pseudorandomness
- Secret-key Encryption and Authentication
- Public-key Encryption and Digital Signatures
- Cryptographic Hashing
- Zero-knowledge Proofs
- Secure Multiparty Computation
- Private Information Retrieval
- Homomorphic Encryption
- Advanced topics: Threshold Cryptography, Program Obfuscation, Quantum Crypto, ...

• Course website, the central point of reference.

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Piazza for questions, Gradescope for psets.

Piazza: https://piazza.com/class/lm5kwnurlj2573/

Gradescope code: **B2BRD2** 

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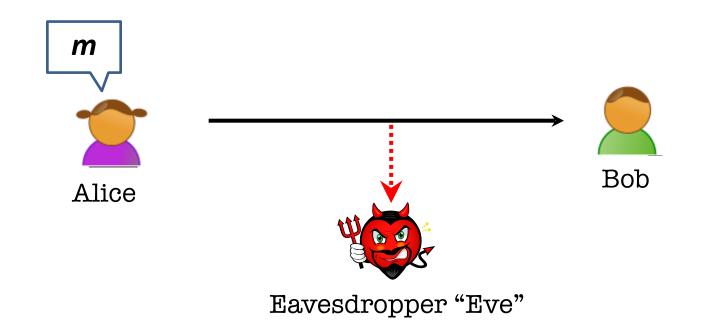
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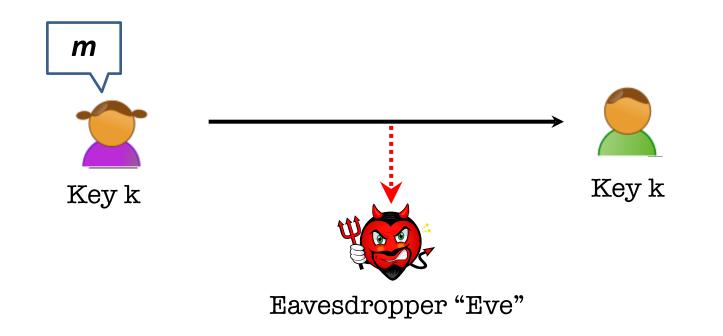
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- **Class Participation (5%):** Lecture, Piazza.
- Prereqs: Algorithms, Probability & Discrete Math, but most of all, "mathematical maturity".
- (Optional) special recitations: 1. probability (this Friday), 2.
   basic complexity theory, 3. number theory.

#### **Secure Communication**



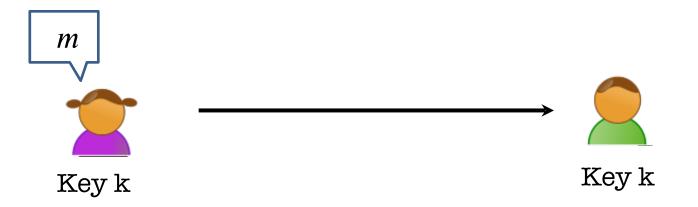
#### Alice wants to send a message m to Bob without revealing it to Eve.

#### **Secure Communication**

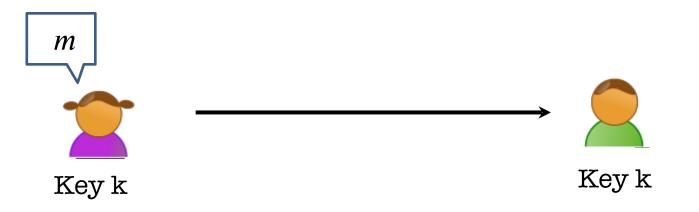


#### SETUP: Alice and Bob meet beforehand to agree on a secret key k.

(or Symmetric-key Encryption)



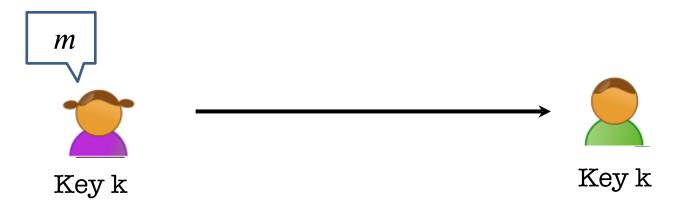
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**Three (possibly probabilistic) polynomial-time algorithms:** 

• Key Generation Algorithm Gen:  $k \leftarrow \text{Gen}($ 

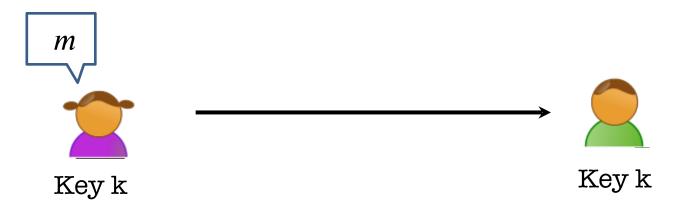
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**Three (possibly probabilistic) polynomial-time algorithms:** 

• Key Generation Algorithm Gen:  $k \leftarrow \text{Gen}(1^n)$ 

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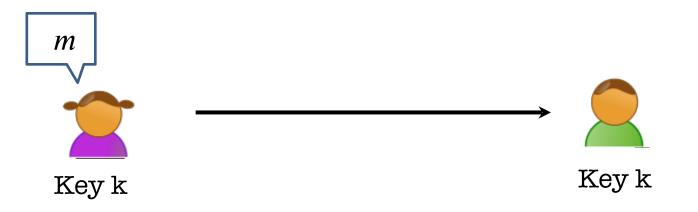


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### **Key Notion: Secret-key Encryption**

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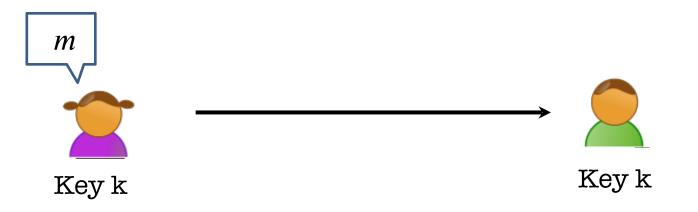


**Three (possibly probabilistic) polynomial-time algorithms:** 

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- Encryption Algorithm Enc:  $c \leftarrow Enc(k, m)$

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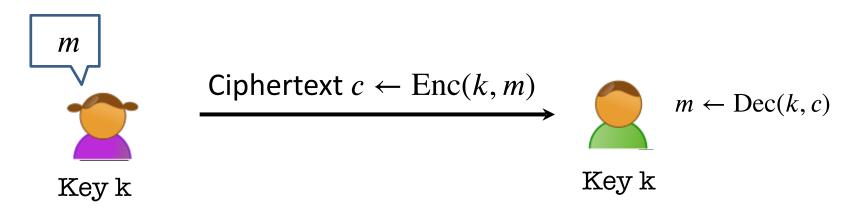


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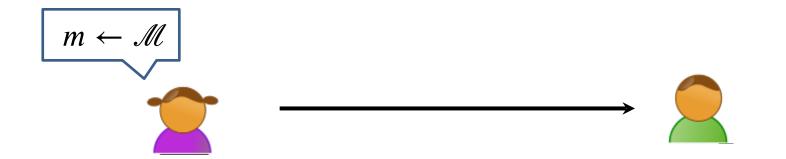
 Can see the ciphertexts going through the channel (but cannot modify them... we will come to that later)



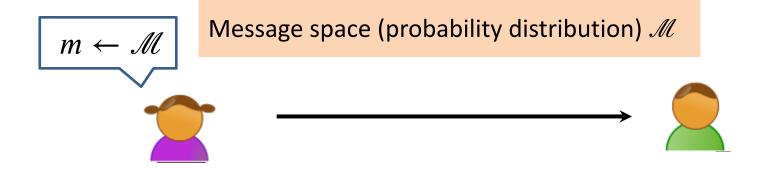
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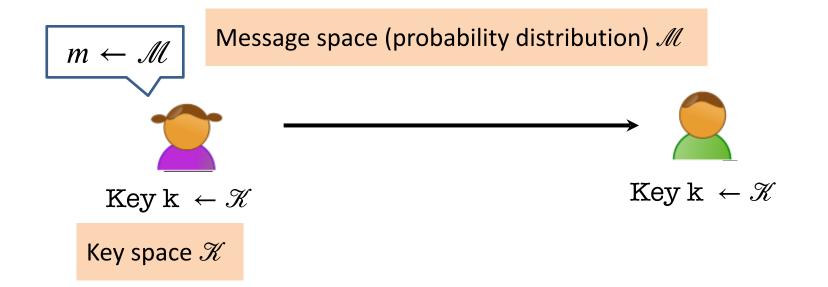
#### **Security Definition: What is she trying to learn?**

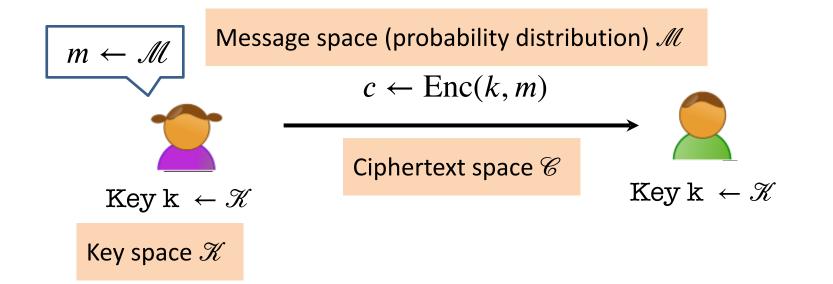


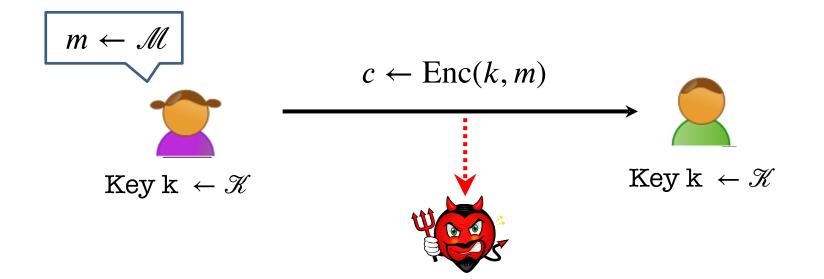
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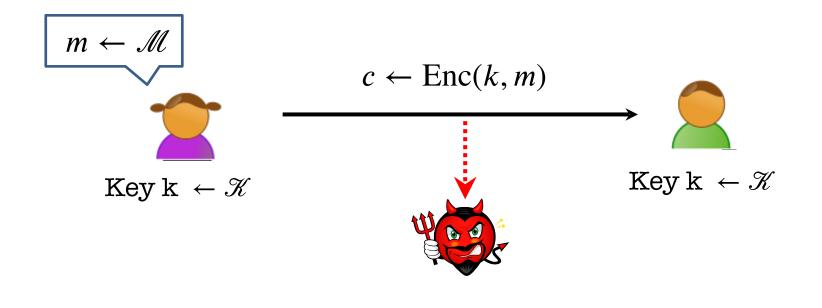
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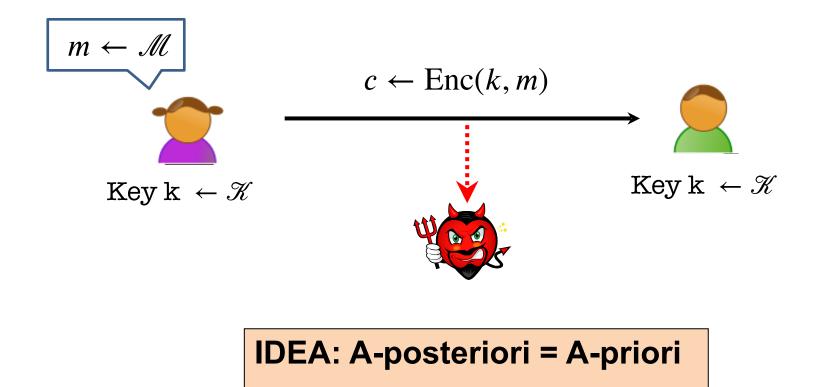


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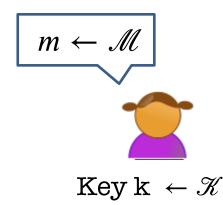


#### IDEA: A-posteriori = A-priori





$$\Pr[\mathscr{M} = m \mid Enc(\mathscr{K}, \mathscr{M}) = c] = \Pr[\mathscr{M}$$
  
A-posteriori



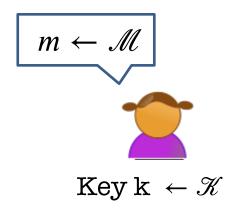


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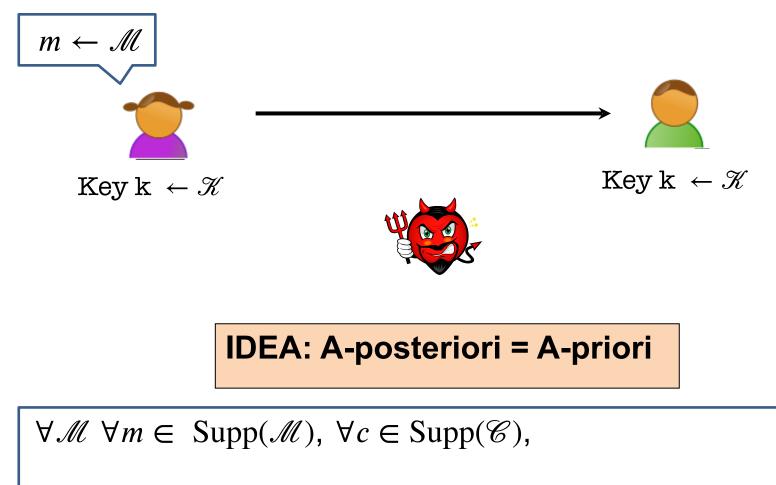
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A-posteriori A-priori



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Perfect indistinguishability: a Turing test

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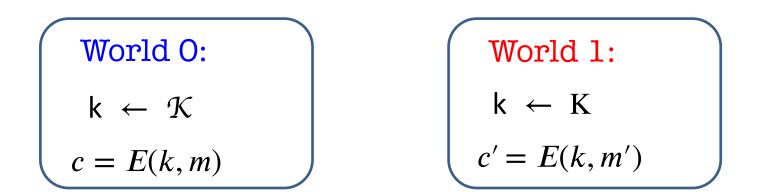
World O:  

$$k \leftarrow \mathcal{K}$$
  
 $c = E(k, m)$ 

World 1:  $k \leftarrow K$ c' = E(k, m')

Perfect indistinguishability: a Turing test

 $\forall \mathcal{M} \ \forall m, \ m' \in \operatorname{Supp}(\mathcal{M}),$ 

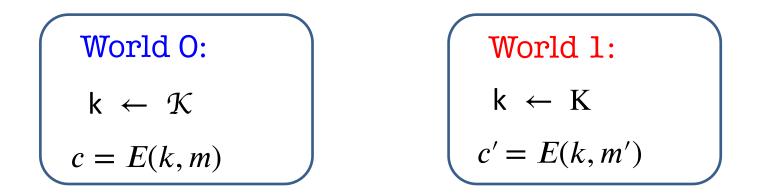




is a **distinguisher** (that gets c and tries to guess which world she's in)

<u>Perfect indistinguishability</u>: a Turing test

$$\forall \mathcal{M} \ \forall m, \ m' \in \operatorname{Supp}(\mathcal{M}), \ c \in \operatorname{Supp}(\mathcal{C}):$$
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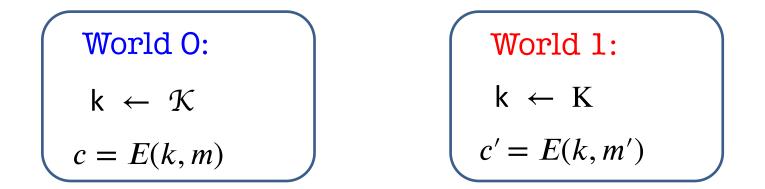




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#### **The Two Definitions are Equivalent**

**THEOREM**: An encryption scheme (Gen, Enc, Dec) satisfies perfect secrecy IFF it satisfies perfect indistinguishability.

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**THEOREM**: An encryption scheme (Gen, Enc, Dec) satisfies perfect secrecy IFF it satisfies perfect indistinguishability.

**PROOF**: Simple use of conditional probabilities.

#### A simple observation

**(SEC)**:  $\forall \mathcal{M} \ \forall m \in \operatorname{Supp}(\mathcal{M}), \ \forall c \in \operatorname{Supp}(\mathcal{C}),$ 

 $\Pr[\mathscr{M} = m | Enc(\mathscr{K}, \mathscr{M}) = c] = \Pr[\mathscr{M} = m]$ 

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Observation: SEC is equivalent to saying that the random variables  $\mathscr{M}$  and  $\mathscr{C} \coloneqq Enc(\mathscr{K}, \mathscr{M})$  are independent.

#### **Proof Part 1. Indistinguishability ==> Secrecy**

**WE KNOW (IND)**:  $\forall \mathcal{M} \forall m, m' \in \text{Supp}(\mathcal{M}), \forall c \in \text{Supp}(\mathcal{C}),$ 

 $\Pr\left[Enc(\mathscr{K},m)=c\right]=\Pr\left[Enc(\mathscr{K},m')=c\right] \qquad = \alpha$ 

**WE WANT (SEC)**:  $\forall \mathcal{M} \ \forall m \in \text{Supp}(\mathcal{M}), \ \forall c \in \text{Supp}(\mathcal{C}),$ 

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**Proof:** By the observation from last slide, SEC is true if and only if  $Pr[\mathscr{C} = c | \mathscr{M} = m] = Pr[\mathscr{C} = c]$  (by independence of  $\mathscr{M}$  and  $\mathscr{C}$ .)

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So,  $\Pr[Enc(\mathcal{K}, m) = c] = \Pr[Enc(\mathcal{K}, m') = c]$  (=  $\alpha_c$ ) for all *m* and *m'*, giving us **IND**.

#### **Proof Part 2. Secrecy** $\implies$ **Indistinguishability**

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$$\Pr[Enc(\mathcal{K}, m) = c] = \Pr[\mathcal{C} = c \mid \mathcal{M} = m]$$
$$= \Pr[\mathcal{C} = c \mid \mathcal{M} = m']$$
$$= \Pr[Enc(\mathcal{K}, m') = c]$$

#### The One-time Pad Construction:

**Gen**: Choose an *n*-bit string k at random, i.e.  $k \leftarrow \{0, 1\}^n$ 

*Enc*(*k*, *m*), where M is an n-bit message: Output  $c = m \bigoplus k$ 

Dec(k, c): Output  $m = c \bigoplus k$ 

#### The One-time Pad Construction:

**Gen:** Choose an *n*-bit string k at random, i.e.  $k \leftarrow \{0, 1\}^n$ 

Enc(k, m), where M is an n-bit message: Output  $c = m \bigoplus k$ 

Dec(k, c): Output  $m = c \bigoplus k$ 

 $\oplus$  : bitwise exclusive OR (or XOR)

 $0 \oplus 0 = 1 \oplus 1 = 0$ 

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 $a \oplus b = a + b \pmod{2}$ 

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<u>Correctness</u>:  $c \bigoplus k = (m \bigoplus k) \bigoplus k = m$ .

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<u>Claim</u>: One-time Pad achieves Perfect Indistinguishability (and therefore perfect secrecy).

$$\frac{\text{Proof: For any } \underline{m, c \in \{0, 1_{f}\}^{n}}}{\text{Pr}[\text{Enc}(\text{K}, m) = c] = \frac{\text{Dr}[m]}{\text{Pr}[m]}} \quad w = c]$$
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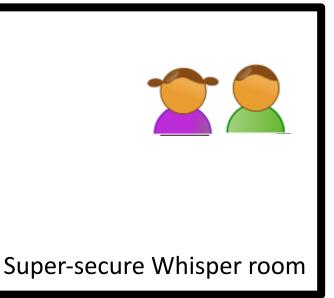
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<u>Proof</u>: For any  $m, m', c \in \{0,1\}^n$ ,

So, 
$$\Pr[\operatorname{Enc}(\mathbf{K}, m) = c] = \Pr[\operatorname{Enc}(\mathbf{K}, m') = c].$$

QED.

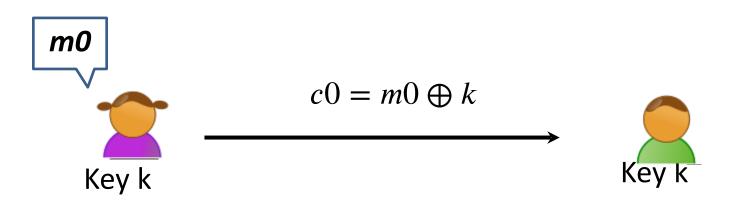


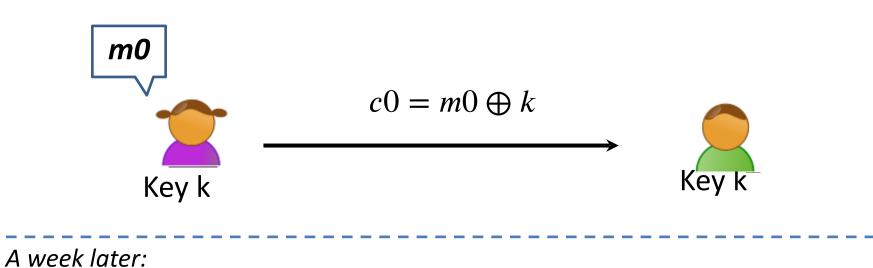


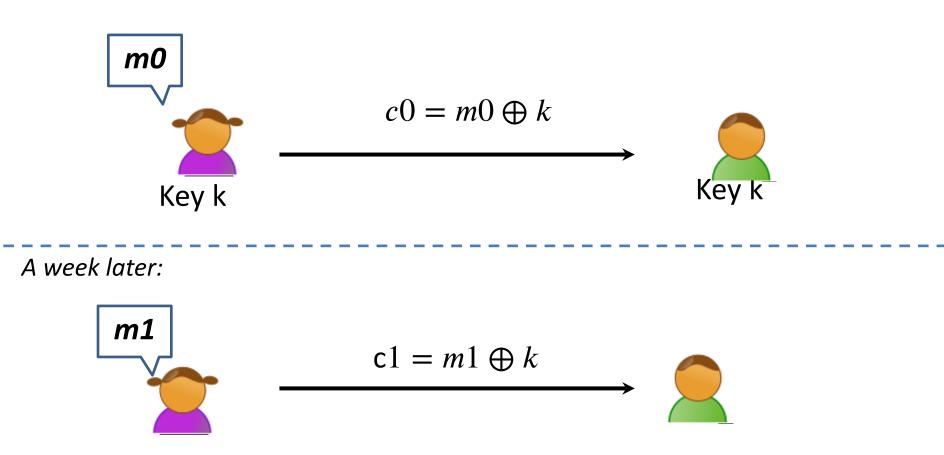


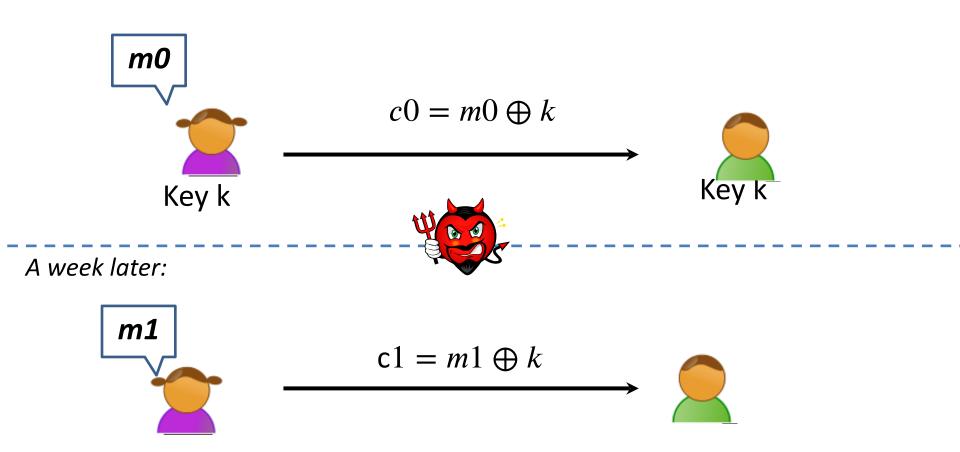












#### Is this still perfectly secret?

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<u>Claim</u>: Two-time Pad does *not* achieve Perfect Indistinguishability (and therefore *not* perfect secrecy).

<u>Proof</u>: We want to pick  $(m0,m1), (m0', m1'), (c0,c1) \in \{0,1\}^{2n}$  s.t.

$$Pr[Enc(k, m0) = c0 \text{ and } Enc(k, m1) = c1]$$
  

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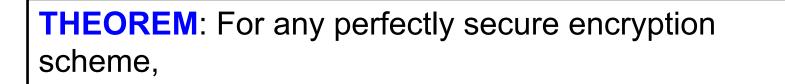
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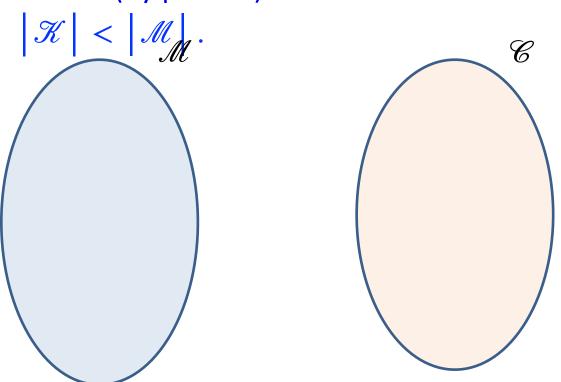
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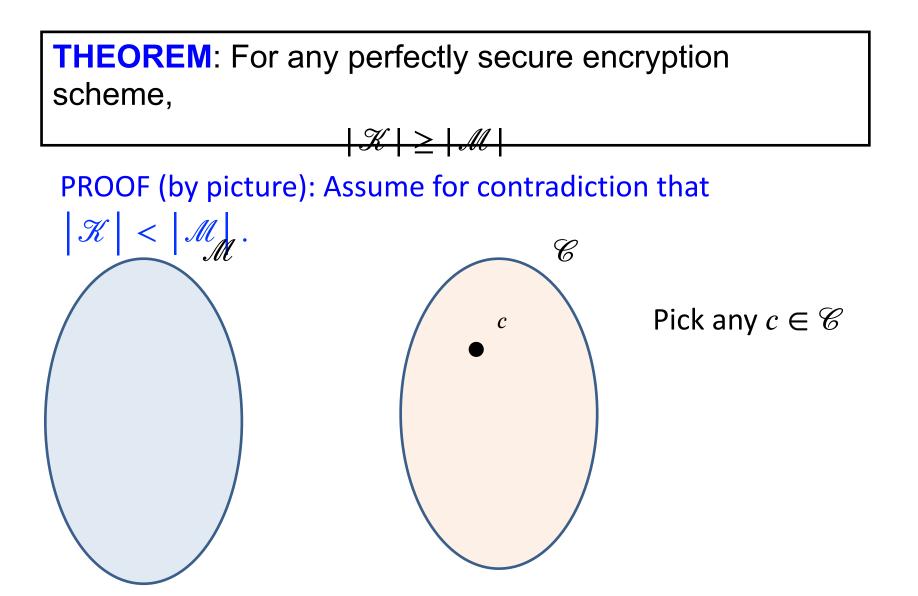
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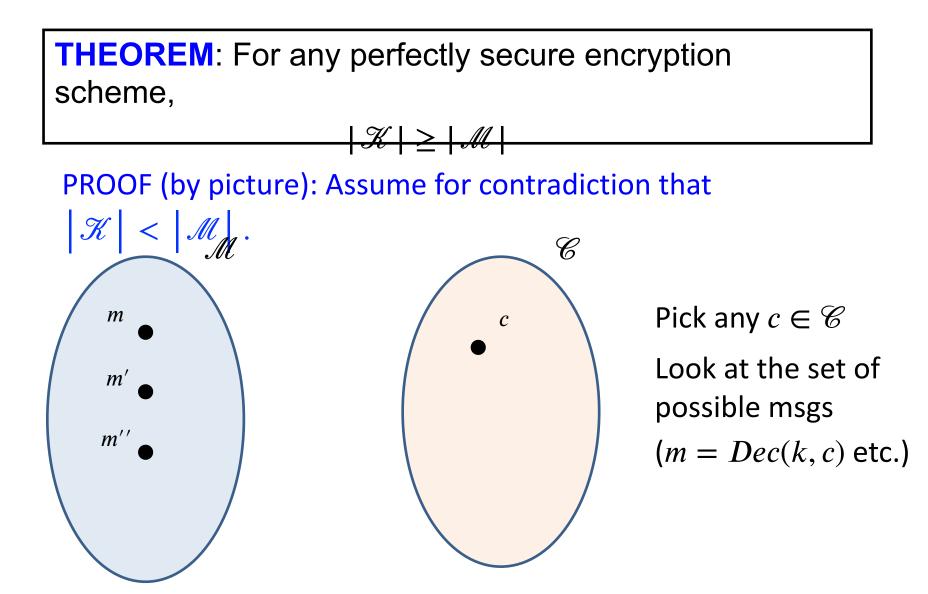


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PROOF (by picture): Assume for contradiction that



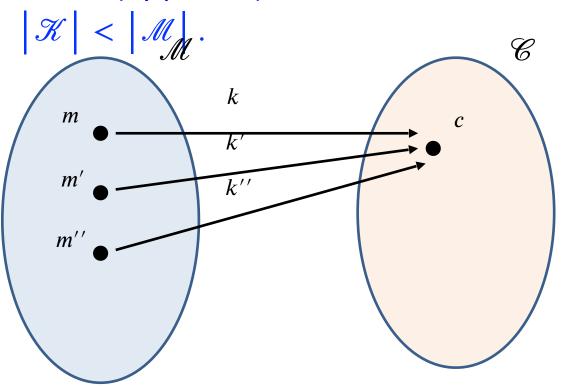




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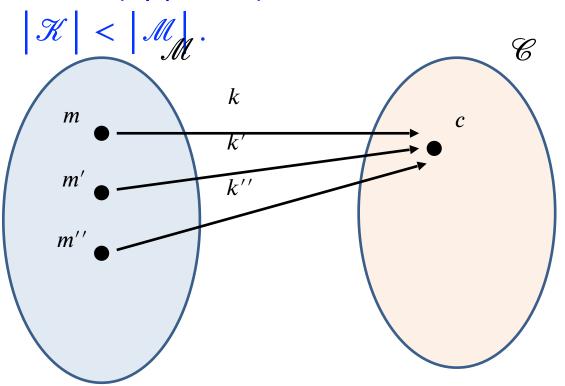


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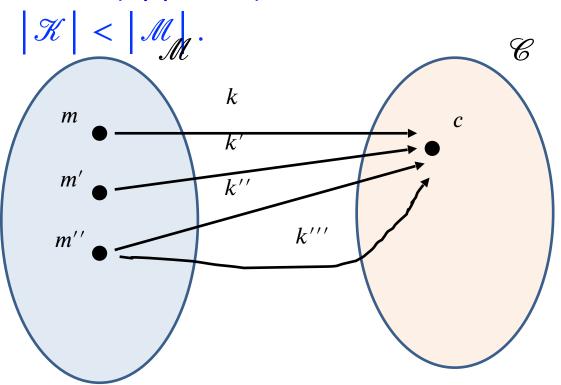


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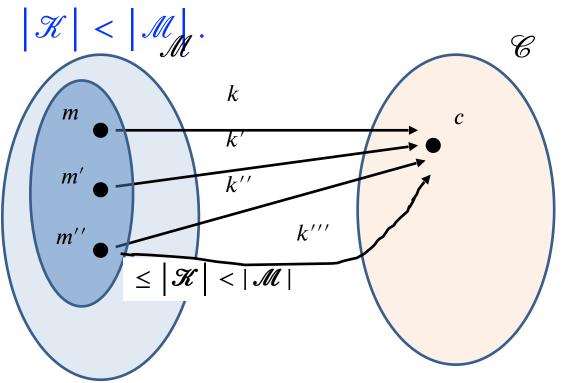


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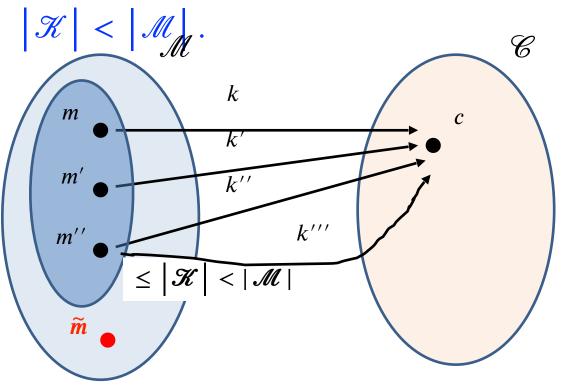


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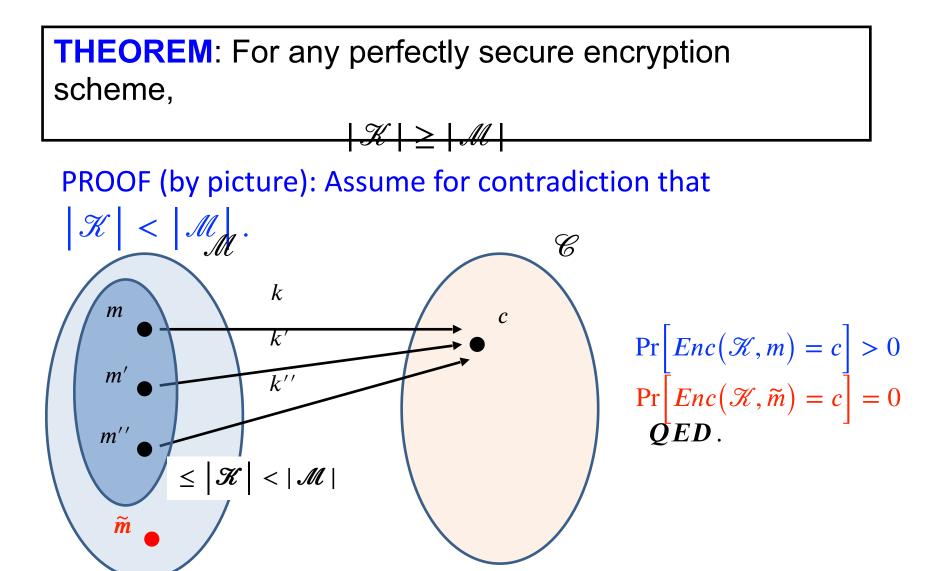
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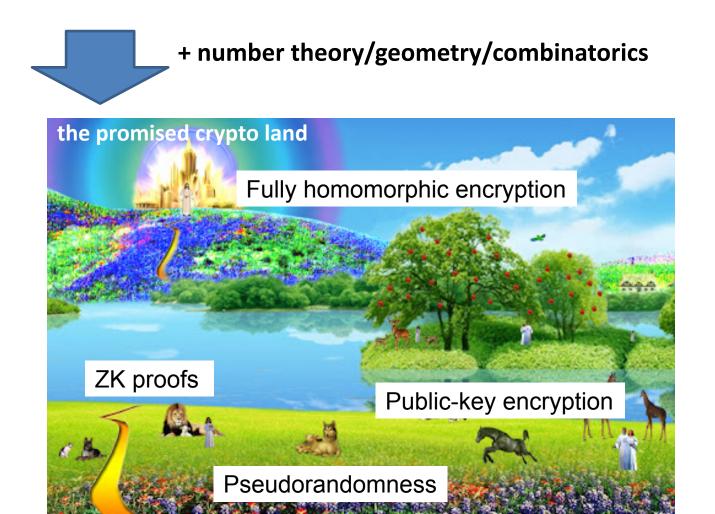
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+ number theory/geometry/combinatorics

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EVE is an arbitrary *computationally bounded* algorithm.



### To Summarize...

- Secure Communication: a quintessential problem in cryptography.
- We saw two equivalent definitions of security:
   Shannon's perfect indistinguishability and perfect secrecy
- One-time pad achieves perfect secrecy.
- A Serious Limitation: Any perfectly secure encryption scheme needs keys that are at least as long as the messages.
- Next Lecture: Overcoming the limitation with Computationally Bounded Adversaries.