## MIT 6.875/6.5620/18.425

## Foundations of Cryptography Lecture 1

Course website: https://mit6875.github.io/

## Course Staff

## Instructor:

## Vinod Vaikuntanathan

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## TAs:



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(hsxiao@mit)


Chirag Falor (cfalor@mit)

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## MIT 6.5620/6.875/18.425 (Fall 2023) <br> Foundations of Cryptography

## Course Description

The field of cryptography gives us a technical language to define important real-world problems such as security, privacy and integrity, a mathematical toolkit to construct mechanisms such as encryption, digital signatures, zero-knowledge proofs, homomorphic encryption and secure multiparty computation, and a complexity-theoretic framework to prove security using reductions. Together, they help us enforce the rules of the road in digital interactions.

The last few years have witnessed dramatic developments in the foundations of cryptography, as well as its applications to real-world privacy and security problems. For example, cryptography is abuzz with solutions to long-standing open problems such as fully homomorphic encryption and software obfuscation that use an abundance of data for public good without compromising security.

The course will explore the rich theory of cryptography all the way from the basics to the recent developments.
Prerequisites: This is an introductory, but fast-paced, graduate course, intended for beginning graduate students and upper level undergraduates in CS and Math. We will assume fluency in algorithms (equivalent to 6.046), complexity theory (equivalent to 6.045) and discrete probability (equivalent to 6.042). Mathematical maturity and an ease with writing mathematical proofs will be assumed starting from the first lecture.

Course Information

## INSTRUCTOR

LOCATION AND TIME
TAs

Vinod Vaikuntanathan
Email: vinodv at csail dot mit dot edu
Monday and Wednesday 1:00-2:30pm in 1-190
Chirag Falor
Email: cfalor at mit dot edu
Office hours: TBD.
Neekon Vafa
Email: nvafa at mit dot edu
Office hours: TBD
Hanshen Xiao
Email: hsxiao at mit dot edu
Office hourc. TRD

## Crypto $\neq$ Cryptocurrencies

6.5620 is not about

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### 6.5620 is not about



Blockchains/ Cryptocurrencies
6.5620 is about foundations:

Digital Signatures
Proofs
Public-key Encryption

Homomorphic
Threshold Encryption

## The Intellectual Origins


"Communication Theory of Secrecy Systems" (1945) preceded
"A Mathematical Theory of Communication" (1948) which founded Information Theory

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Claude E. Shannon

Cryptanalysis of the Enigma Machine (~1938-39)
"On Computable Numbers, with an Application to the Entscheidungsproblem" (1936)
which gave birth to Computer Science


Alan M. Turing

# Modern Cryptography: Practice to Theory and Back 



## Modern Cryptography: Practice to Theory and Back



## Modern Cryptography: Practice to Theory and Back



## Modern Cryptography: Practice to Theory and Back



## Modern Cryptography: Practice to Theory and Back



Encryption
Digital Signatures
Pseudorandom Functions

## Modern Cryptography: Practice to Theory and Back



Encryption
Digital Signatures
Pseudorandom Functions


Interactive Proofs
Probabilistically checkable Proofs
Locally decodable Codes

### 6.875/6.5620 Themes

1. The Omnipresent, Worst-case, Adversary.


Central idea. model the adversary: what they know, what they can do, and what their goals are.

Definitions will be our friend.
If you cannot define something, you cannot achieve it.

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1. The Omnipresent, Worst-case, Adversary.


Central idea. model the adversary: what they know, what they can do, and what their goals are.

Definitions will be our friend.
If you cannot define something, you cannot achieve it.
A key takeaway from 6.875:
Cryptographic (or, adversarial) thinking.

### 6.875/6.5620 Themes

2. Computational Hardness will be our enabler. (starting lecture 2)
Central theme: the cryptographic leash. Use computational hardness to "tame" the adversary.

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> "Both Gauss and lesser mathematicians may be justified in rejoicing that there is one such science [number theory] at any rate, whose very remoteness from ordinary human activities should keep it gentle and clean"
> [G. H. Hardy, "A Mathematician's Apology"]

More recently: geometry, coding theory, combinatorics.

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More recently: geometry, coding theory, combinatorics.
Cryptography is the science of useful hardness.

### 6.875 Themes

3. Security Proofs via Reductions.
"If there is an (efficient) adversary that breaks scheme A w.r.t. definition D , then there is an (efficient) adversary that factors large numbers."

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Our reductions will be probabilistic and significantly more involved than the NP-hardness reductions in, say, 6.045.

### 6.875 Topics

- Pseudorandomness
- Secret-key Encryption and Authentication
- Public-key Encryption and Digital Signatures
- Cryptographic Hashing
- Zero-knowledge Proofs
- Secure Multiparty Computation
- Private Information Retrieval
- Homomorphic Encryption
- Advanced topics:

Threshold Cryptography, Program Obfuscation, Quantum Crypto, ...

## Administrivia

- Course website, the central point of reference. https://mit6875.github.io

Piazza for questions, Gradescope for psets.
Piazza: https://piazza.com/class/Im5kwnurlj2573/
Gradescope code: B2BRD2

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6.875 is on https://psetpartners.mit.edu


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○ Homework (75\%): 6 psets, we will count your best 5 .

- Midterm (20\%): Oct 25.

○ Class Participation (5\%): Lecture, Piazza.

- Prereqs: Algorithms, Probability \& Discrete Math, but most of all, "mathematical maturity".
- (Optional) special recitations: 1. probability (this Friday), 2. basic complexity theory, 3. number theory.


## Secure Communication



Alice wants to send a message $m$ to Bob without revealing it to Eve.

## Secure Communication



SETUP: Alice and Bob meet beforehand to agree on a secret key $k$.

## Key Notion: Secret-key Encryption

(or Symmetric-key Encryption)


Key k


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Three (possibly probabilistic) polynomial-time algorithms:

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Three (possibly probabilistic) polynomial-time algorithms:

- Key Generation Algorithm Gen: $k \leftarrow \operatorname{Gen}\left(1^{n}\right)$ Has to be probabilistic

○ Encryption Algorithm Enc: $c \leftarrow \operatorname{Enc}(k, m)$

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○ Encryption Algorithm Enc: $c \leftarrow \operatorname{Enc}(k, m)$

- Decryption Algorithm Dec: $m \leftarrow \operatorname{Dec}(k, c)$


## Key Notion: Secret-key Encryption

(or Symmetric-key Encryption)


Key k

Ciphertext $c \leftarrow \operatorname{Enc}(k, m)$

$m \leftarrow \operatorname{Dec}(k, c)$

Three (possibly probabilistic) polynomial-time algorithms:

- Key Generation Algorithm Gen: $k \leftarrow \operatorname{Gen}\left(1^{n}\right)$ Has to be probabilistic

○ Encryption Algorithm Enc: $c \leftarrow \operatorname{Enc}(k, m)$

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## The Worst-case Adversary



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(Kerckhoff's principle or Shannon's maxim)
- Can see the ciphertexts going through the channel (but cannot modify them... we will come to that later)

Security Definition: What is she trying to learn?

## Shannon's Perfect Secrecy Definition


$\operatorname{Pr}[$ A

## Shannon's Perfect Secrecy Definition



Message space (probability distribution) $\mathscr{M}$

## Shannon's Perfect Secrecy Definition


$\operatorname{Pr}[\mu$

## Shannon's Perfect Secrecy Definition

## $m \leftarrow M$

Message space (probability distribution) $\mathscr{M}$

$\operatorname{Pr}[\wedge$

## Shannon's Perfect Secrecy Definition



## Shannon's Perfect Secrecy Definition



IDEA: A-posteriori = A-priori

## Shannon's Perfect Secrecy Definition



IDEA: A-posteriori = A-priori

$$
\operatorname{Pr}[\mathscr{M}=m \mid E n c(\mathscr{K}, \mathscr{M})=c]=\operatorname{Pr}[\mathscr{M}
$$

A-posteriori

## Shannon's Perfect Secrecy Definition



Key $\mathrm{k} \leftarrow \mathscr{K}$


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A-posteriori
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## Shannon's Perfect Secrecy Definition



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$\forall \mathscr{M} \forall m \in \operatorname{Supp}(\mathscr{M}), \forall c \in \operatorname{Supp}(\mathscr{C})$,
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A-posteriori
A-priori

## Shannon's Perfect Secrecy Definition



IDEA: A-posteriori = A-priori

$$
\begin{aligned}
& \forall \mathscr{M} \forall m \in \underset{\text { A-posteriori }}{\operatorname{Supp}(\mathscr{M}), \forall c \in \operatorname{Supp}(\mathscr{C})} \\
& \operatorname{Pr}\left[\mathscr{M}=m \underset{\text { A-priori }}{\operatorname{Enc}(\mathscr{K}, \mathscr{M})=c]=\operatorname{Pr}[\mathscr{M}=m]^{\operatorname{En}}} \quad\right.
\end{aligned}
$$

## Perfect Indistinguishability Definition

Perfect indistinguishability: a Turing test
$\forall \mathscr{M} \forall m, m^{\prime} \in \operatorname{Supp}(\mathscr{M})$,

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Perfect indistinguishability: a Turing test

$$
\forall \mathscr{M} \forall m, m^{\prime} \in \operatorname{Supp}(\mathscr{M})
$$

$$
\begin{aligned}
& \text { World } \mathrm{O}: \\
& \mathrm{k} \leftarrow \mathcal{K} \\
& c=E(k, m)
\end{aligned}
$$

$$
\begin{aligned}
& \text { World l: } \\
& \mathrm{k} \leftarrow \mathrm{~K} \\
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is a distinguisher (that gets c and tries to guess which world she's in)

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$\forall \mathscr{M} \forall m, m^{\prime} \in \operatorname{Supp}(\mathscr{M}), \quad c \in \operatorname{Supp}(\mathscr{C}):$

$$
\operatorname{Pr}[E(\mathrm{~K}, m)=c]=\operatorname{Pr}\left[E\left(\mathrm{~K}, m^{\prime}\right)=c\right]
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## The Two Definitions are Equivalent

THEOREM: An encryption scheme (Gen, Enc, Dec) satisfies perfect secrecy IFF it satisfies perfect indistinguishability.

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PROOF: Simple use of conditional probabilities.

## A simple observation

(SEC): $\forall \mathscr{M} \forall m \in \operatorname{Supp}(\mathscr{M}), \forall c \in \operatorname{Supp}(\mathscr{C})$,
$\operatorname{Pr}[\mathscr{M}=m \mid \operatorname{Enc}(\mathscr{K}, \mathscr{M})=c]=\operatorname{Pr}[\mathscr{M}=m]$

## A simple observation

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$\operatorname{Pr}[\mathscr{M}=m \mid \operatorname{Enc}(\mathscr{K}, \mathscr{M})=c]=\operatorname{Pr}[\mathscr{M}=m]$

Observation: SEC is equivalent to saying that the random variables $\mathscr{M}$ and $\mathscr{C}:=\operatorname{Enc}(\mathscr{K}, \mathscr{M})$ are independent.

## Proof Part 1. Indistinguishability $\Longrightarrow$ Secrecy

WE KNOW (IND): $\forall \mathscr{M} \forall m, m^{\prime} \in \operatorname{Supp}(\mathscr{M}), \forall c \in \operatorname{Supp}(\mathscr{C})$,

$$
\operatorname{Pr}[\operatorname{Enc}(\mathscr{K}, m)=c]=\operatorname{Pr}\left[\operatorname{Enc}\left(\mathscr{K}, m^{\prime}\right)=c\right] \quad=\alpha
$$

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Proof: By the observation from last slide, SEC is true if and only if $\operatorname{Pr}[\mathscr{C}=c \mid \mathscr{M}=m]=\operatorname{Pr}[\mathscr{C}=c]$ (by independence of $\mathscr{M}$ and $\mathscr{C}$.)

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This means that $\operatorname{Pr}[\mathscr{C}=c \mid \mathscr{M}=m]=\operatorname{Pr}[\operatorname{Enc}(\mathscr{K}, m)=c]$ is a number (say $\alpha_{c}$ ) that does not depend on $m$.

So, $\operatorname{Pr}[\operatorname{Enc}(\mathscr{K}, m)=c]=\operatorname{Pr}\left[\operatorname{Enc}\left(\mathscr{K}, m^{\prime}\right)=c\right] \quad\left(=\alpha_{c}\right)$ for all $m$ and $m^{\prime}$, giving us IND.

## Proof Part 2. Secrecy $\Longrightarrow$ Indistinguishability

WE KNOW (SEC): $\forall \mathscr{M} \forall m \in \operatorname{Supp}(\mathscr{M}), \forall c \in \operatorname{Supp}(\mathscr{C})$,
$\operatorname{Pr}[\mathscr{M}=m \mid \mathscr{C}=c]=\operatorname{Pr}[\mathscr{M}=m]$
WE WANT (IND): $\forall \mathscr{M} \forall m, m^{\prime} \in \operatorname{Supp}(\mathscr{M}), \forall c \in \operatorname{Supp}(\mathscr{C})$,
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Proof: As before, SEC is true if and only if $\operatorname{Pr}[\mathscr{C}=c \mid \mathscr{M}=m]=\operatorname{Pr}[\mathscr{C}=c]$ for all $m$ and $c$.

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Proof: As before, SEC is true if and only if $\operatorname{Pr}[\mathscr{C}=c \mid \mathscr{M}=m]=\operatorname{Pr}[\mathscr{C}=c]$ for all $m$ and $c$.

$$
\begin{aligned}
\operatorname{Pr}[\operatorname{Enc}(\mathscr{K}, m)=c]= & \operatorname{Pr}[\mathscr{C}=c \mid \mathscr{M}=m] \\
= & \operatorname{Pr}\left[\mathscr{C}=c \mid \mathscr{M}=m^{\prime}\right] \\
& =\operatorname{Pr}\left[\operatorname{Enc}\left(\mathscr{K}, m^{\prime}\right)=c\right]
\end{aligned}
$$

## Perfect Secrecy is Achievable

## The One-time Pad Construction:

Gen: Choose an $n$-bit string $k$ at random, i.e. $k \leftarrow\{0,1\}^{n}$
$\operatorname{Enc}(k, m)$, where M is an n -bit message: Output $c=m \bigoplus k$
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$\oplus$ : bitwise exclusive OR (or XOR)

$$
\begin{gathered}
0 \oplus 0=1 \oplus 1=0 \\
0 \oplus 1=1 \oplus 0=1 \\
a \oplus b=a+b(\bmod 2)
\end{gathered}
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Correctness: $c \bigoplus k=(m \bigoplus k) \bigoplus k=m$.

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Claim: One-time Pad achieves Perfect Indistinguishability (and therefore perfect secrecy).

$=\operatorname{Pr}[\mathrm{K}=c \bigoplus m]-\ldots$

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$=\operatorname{Pr}[\mathrm{K}=c \bigoplus m]=1 / 2^{n}$

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$\operatorname{Enc}(k, m)$, where M is an n -bit message: Output $c=m \bigoplus k$
$\operatorname{Dec}(k, c)$ : Output $m=c \bigoplus k$
Claim: One-time Pad achieves Perfect Indistinguishability (and therefore perfect secrecy).

Proof: For any $m, m^{\prime}, c \in\{0,1\}^{n}$,

$$
\text { So, } \operatorname{Pr}[\operatorname{Enc}(\mathrm{K}, m)=c]=\operatorname{Pr}\left[\operatorname{Enc}\left(\mathrm{K}, m^{\prime}\right)=c\right] .
$$

QED.

## Reusing a One-time Pad?



## Reusing a One-time Pad?



## Reusing a One-time Pad?



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A week later:

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$$
\mathrm{c} 1=m 1 \oplus k
$$

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## Is this still perfectly secret?

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Proof: We want to pick $(m 0, m 1),\left(m 0^{\prime}, m 1^{\prime}\right),(c 0, c 1) \in\{0,1\}^{2 n}$ s.t.
$\operatorname{Pr}[\operatorname{Enc}(\mathrm{k}, m 0)=c 0$ and $\operatorname{Enc}(k, m 1)=c 1]$
$\neq \operatorname{Pr}\left[\operatorname{Enc}\left(\mathrm{k}, m 0^{\prime}\right)=c 0\right.$ and $\left.\operatorname{Enc}\left(k, m 1^{\prime}\right)=c 1\right]$

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$$
\begin{aligned}
\operatorname{Pr}[\operatorname{Enc}(\mathscr{K}, m) & =c]>0 \\
\operatorname{Pr}[\operatorname{Enc}(\mathscr{K}, \tilde{m})=c] & =0
\end{aligned}
$$

QED.

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## To Summarize...

- Secure Communication: a quintessential problem in cryptography.
- We saw two equivalent definitions of security: Shannon's perfect indistinguishability and perfect secrecy
- One-time pad achieves perfect secrecy.
- A Serious Limitation: Any perfectly secure encryption scheme needs keys that are at least as long as the messages.
- Next Lecture: Overcoming the limitation with Computationally Bounded Adversaries.


[^0]:    "Both Gauss and lesser mathematicians may be justified in rejoicing that there is one such science [number theory] at any rate, whose very remoteness from ordinary human activities should keep it gentle and clean"
    [G. H. Hardy, "A Mathematician's Apology"]

