

MIT 6.875

Foundations of Cryptography
Lecture 10

Lectures 8-10

Constructions of Public-key Encryption

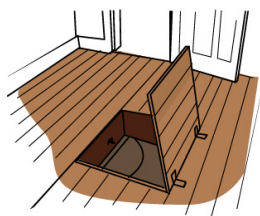
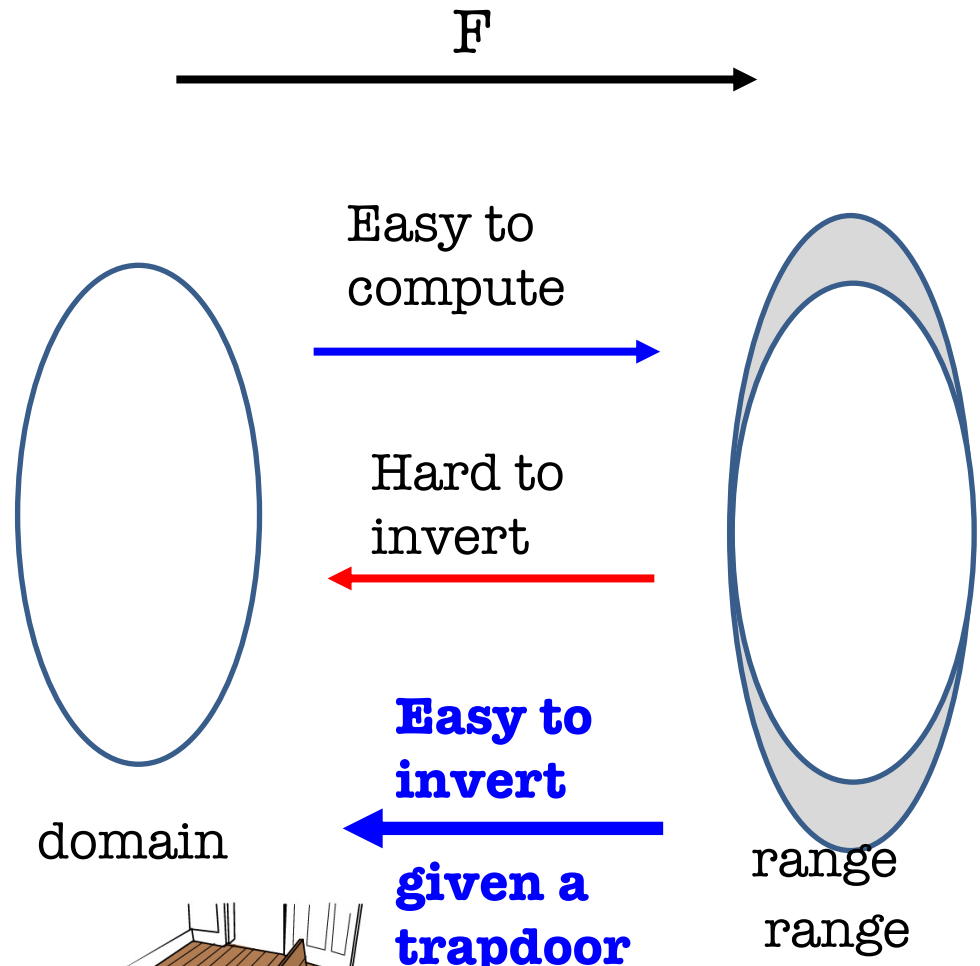
✓ Diffie-Hellman/El Gamal

2: Trapdoor Permutations (RSA)

3: Quadratic Residuosity/Goldwasser-Micali

4: Post-Quantum Security & Lattice-based Encryption

Trapdoor One-Way Functions



Domain = Range

Trapdoor Functions: The Definition

A function (family) $\mathcal{F} = \{\mathcal{F}_n\}_{n \in \mathbb{N}}$ where **each \mathcal{F}_n is itself a collection of functions** $\mathcal{F}_n = \{F_i: \{0,1\}^n \rightarrow \{0,1\}^{m(n)}\}_{i \in I_n}$ is a trapdoor one-way function family if:

- Easy to sample function index with a trapdoor: There is a PPT algorithm $Gen(1^n)$ that outputs a function index $i \in I_n$ together with a trapdoor t_i .

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- Easy to sample function index with a trapdoor.
- Easy to compute $F_i(x)$ given i and x .
- Easy to compute an inverse of $F_i(x)$ given t_i .
- It is one-way: that is, for every p.p.t. A , there is a negligible function μ s.t.

$$\Pr \left[\begin{array}{l} (i, t) \leftarrow \mathbf{Gen}(1^n); x \leftarrow \{0,1\}^n; y = F_i(x); \\ A(1^n, i, y) = x': y = F_i(x') \end{array} \right] \leq \mu(n)$$

From Trapdoor Permutations to IND-Secure Public-key Encryption

- $Gen(1^n)$: Sample function index i with a trapdoor t_i . The public key is i and the private key is t_i .
- $Enc(pk = i, m)$: Output $c = F_i(m)$ as the ciphertext.
- $Dec(sk = t_i, c)$: Output $F_i^{-1}(c)$ computed using the private key t_i .



**Could reveal partial info about m !
So, not IND-secure!**

From Trapdoor Permutations to IND-Secure Public-key Encryption

- $Gen(1^n)$: Sample function index i with a trapdoor t_i . The public key is i and the private key is t_i .
- $Enc(pk = i, m)$ where m is a bit: **Pick a random r . Output $c = (F_i(r), HCB(r) \oplus m)$.**
- $Dec(sk = t_i, c)$: Recover r using the private key t_i , and using it m .

This is IND-CPA secure:

Proof by Hybrid argument (exercise).

Trapdoor Permutations: Candidates

Trapdoor Permutations are *exceedingly* rare.

Two candidates (both need factoring to be hard):

- **The RSA (Rivest-Shamir-Adleman) Function**
- The Rabin/Blum-Williams Function

Review: Number Theory

Let's review some number theory from L9.

Let $N = pq$ be a product of two large primes.

Fact: $Z_N^* = \{a \in Z_N : \gcd(a, N) = 1\}$ is a group.

- group operation is multiplication mod N .
- inverses exist and are easy to compute.
- the order of the group is $\phi(N) = (p - 1)(q - 1)$

The RSA Trapdoor Permutation

Today: Let e be an integer with $\gcd(e, \phi(N)) = 1$. Then, the map $F_{N,e}(x) = x^e \bmod N$ is a trapdoor permutation.

Key Fact: Given d such that $ed = 1 \bmod \phi(N)$, it is easy to compute x given x^e .

Proof: $(x^e)^d$

This gives us the RSA trapdoor permutation collection.

$$\{F_{N,e} : \gcd(e, N) = 1\}$$

Trapdoor for inversion: $d = e^{-1} \bmod \phi(N)$.

The RSA Trapdoor Permutation

Today: Let e be an integer with $\gcd(e, \phi(N)) = 1$. Then, the map $F_{N,e}(x) = x^e \bmod N$ is a trapdoor permutation.

Hardness of inversion without trapdoor = **RSA assumption**

given N, e (as above) and $x^e \bmod N$, hard to compute x .

We know that if factoring is easy, RSA is broken (and that's the only *known* way to break RSA)

Major Open Problem: Are factoring and RSA equivalent?

The RSA Trapdoor Permutation

Today: Let e be an integer with $\gcd(e, \phi(N)) = 1$. Then, the map $F_{N,e}(x) = x^e \bmod N$ is a trapdoor permutation.

Hardcore bits (galore) for the RSA trapdoor one-way perm:

- The Goldreich-Levin bit $GL(r; r') = \langle r, r' \rangle \bmod 2$
- The least significant bit $LSB(r)$
- The “most significant bit” $HALF_N(r) = 1$ iff $r < N/2$
- In fact, any single bit of r is hardcore.

RSA Encryption

- $Gen(1^n)$: Let $N = pq$ and (e, d) be such that $ed = 1 \bmod \phi(N)$.

Let $pk = (N, e)$ and let $sk = d$.

- $Enc(pk, b)$ where b is a bit: Generate random $r \in Z_N^*$ and output $r^e \bmod N$ and $LSB(r) \oplus m$.
- $Dec(sk, c)$: Recover r via RSA inversion.

IND-secure under the RSA assumption: given N, e (as above) and $r^e \bmod N$, hard to compute r .

Lectures 8-10

Constructions of Public-key Encryption

✓ Diffie-Hellman/El Gamal

✓ Trapdoor Permutations (RSA)

3: Quadratic Residuosity/Goldwasser-Micali

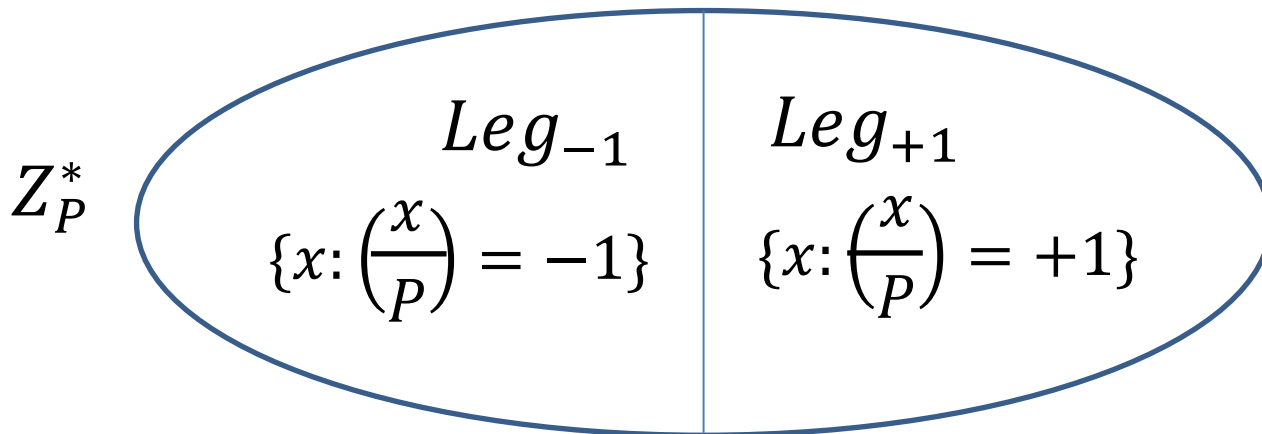
4: Post-Quantum Security & Lattice-based Encryption

Quadratic Residues mod P

Let P be prime. We saw that exactly half of Z_P^* are squares.

Define the Legendre Symbol $\left(\frac{x}{P}\right) = 1$ if x is a square, -1 if x is not a square, and 0 if $x = 0 \pmod P$.

$$\text{So: } \left(\frac{x}{P}\right) = x^{(P-1)/2}$$



Quadratic Residues mod P

Let P be prime. We saw that exactly half of Z_P^* are squares.

It is easy to compute square roots mod P . We will show it for the case where $P \equiv 3 \pmod{4}$.

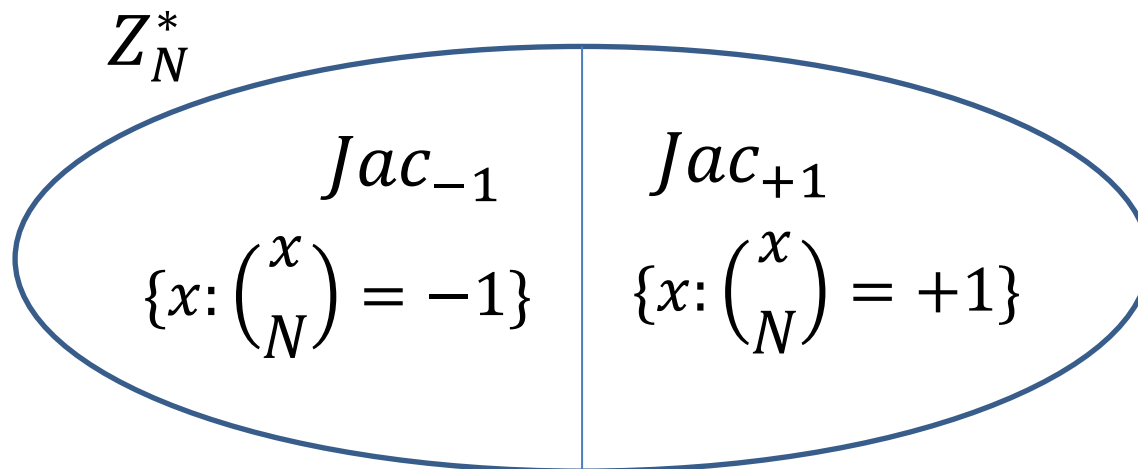
Claim: The square roots of $x \pmod{P}$ are $\pm x^{(P+1)/4}$

Proof: $(\pm x^{(P+1)/4})^2 = x^{(P+1)/2} = x \cdot x^{(P-1)/2} = x \pmod{P}$

Quadratic Residues mod N

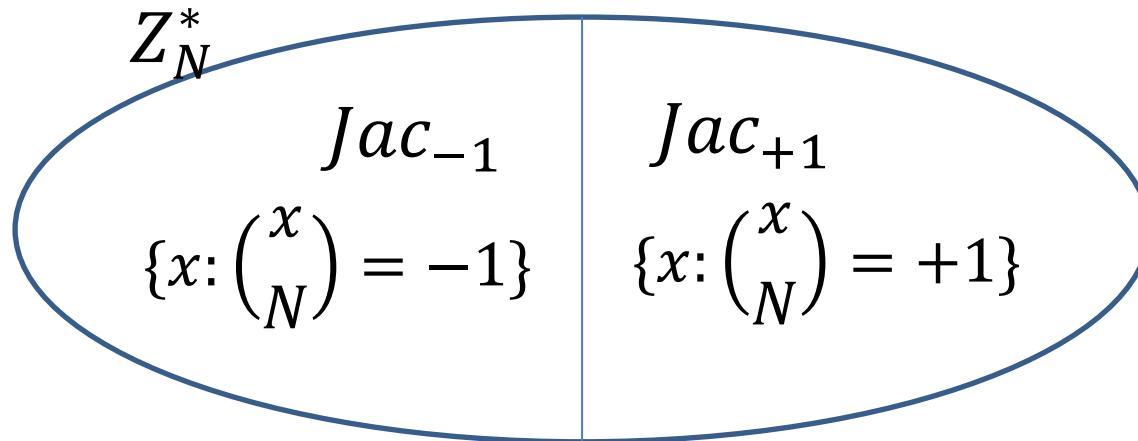
Now, let $N = PQ$ be a product of two primes and look at Z_N^*

Define the Jacobi symbol $\left(\frac{x}{N}\right) = \left(\frac{x}{P}\right) \left(\frac{x}{Q}\right)$ to be +1 if x is a square mod both P and Q or a non-square mod both P and Q .



Quadratic Residues mod N

Let $N = PQ$ be a product of two large primes.



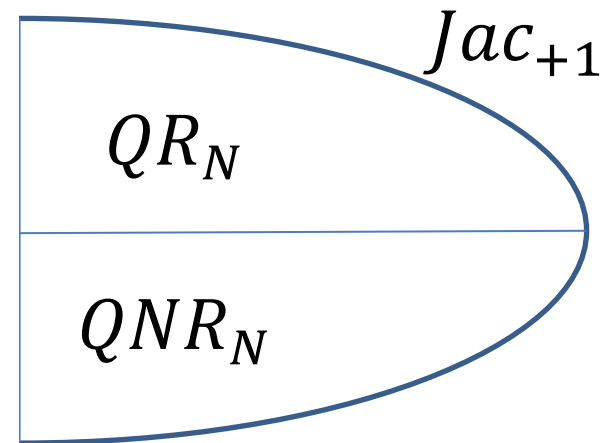
Surprising fact: Jacobi symbol $\left(\frac{x}{N}\right) = \left(\frac{x}{P}\right) \left(\frac{x}{Q}\right)$ is computable in poly time without knowing P and Q .

Quadratic Residues mod N

x is square mod N iff x is square mod P and it is a square mod Q .

$$\text{So: } QR_N = \{x: \left(\frac{x}{P}\right) = \left(\frac{x}{Q}\right) = +1\}$$

$$QNR_N = \{x: \left(\frac{x}{P}\right) = \left(\frac{x}{Q}\right) = -1\}$$



QR_N is the set of squares mod N and QNR_N is the set of non-squares mod N with Jacobi symbol $+1$.

Finding Square Roots Mod N

... is as hard as factoring N

⇐ Suppose you know P and Q and you want to find the square root of x mod N.

Find the square roots of y mod P and mod Q.

$$x = y_P^2 \pmod{P} \qquad x = y_Q^2 \pmod{Q}$$

Use the Chinese remainder theorem. Let $y = c_P y_P + c_Q y_Q$ where the CRT coefficients

$$c_P = 1 \pmod{P} \text{ and } c_P = 0 \pmod{Q}$$

$$c_Q = 0 \pmod{P} \text{ and } c_Q = 1 \pmod{Q}$$

Then y is a square root of x mod N.

Finding Square Roots Mod N

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Suppose you know P and Q and you want to find the square root of x mod N.

Find the square roots of y mod P and mod Q.

$$x = y_P^2 \pmod{P} \qquad x = y_Q^2 \pmod{Q}$$

Let $y = c_P y_P + c_Q y_Q$ where the CRT coefficients

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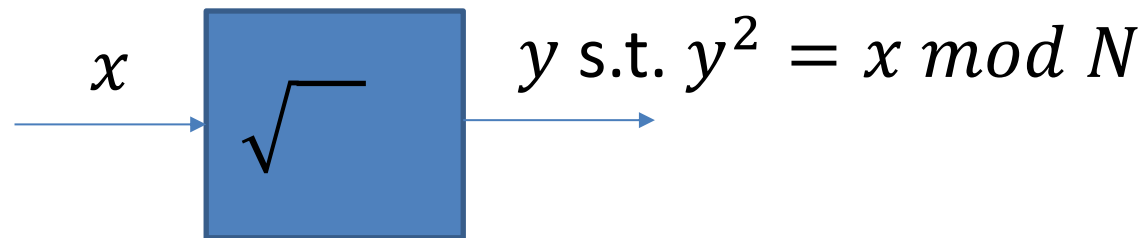
$$c_Q = 0 \pmod{P} \text{ and } 1 \pmod{Q}$$

So, if x is a square, it has 4 distinct square roots mod N.

Finding Square Roots Mod N

... is as hard as factoring N

⇒ Suppose you have a box that computes square roots mod N. Can we use it to factor N?



Feed the box $x = z^2 \pmod N$ for a random z .

Claim (Pf on the board): with probability $1/2$, $\gcd(z + y, N)$ is a non-trivial factor of N .

Recognizing Squares mod N

... also seems hard

Let $N = PQ$ be a product of two large primes.

Quadratic Residuosity Assumption (QRA)

Let $N = PQ$ be a product of two large primes.

No PPT algorithm can distinguish between a random element of QR_N from a random element of QNR_N given only N .

Goldwasser-Micali (GM) Encryption

$Gen(1^n)$: Generate random n -bit primes p and q and let $N = pq$. Let $y \in QNR_N$ be some quadratic non-residue with Jacobi symbol $+1$.

Let $pk = (N, y)$ and let $sk = (p, q)$.

$Enc(pk, b)$ where b is a bit:

Generate random $r \in Z_N^*$ and output $r^2 \bmod N$ if $b = 0$ and $r^2 y \bmod N$ if $b = 1$.

$Dec(sk, c)$: Check if $c \in Z_N^*$ is a quadratic residue using p and q . If yes, output 0 else 1.

Goldwasser-Micali (GM) Encryption

$Enc(pk, b)$ where b is a bit:

Generate random $r \in Z_N^*$ and output $r^2 \bmod N$ if $b = 0$ and $r^2 y \bmod N$ if $b = 1$.

IND-security follows directly from the quadratic residuosity assumption.

GM is a Homomorphic Encryption

Given a GM-ciphertext of b and a GM-ciphertext of b' , I can compute a GM-ciphertext of $b + b' \bmod 2$.
without knowing anything about b or b' !

$Enc(pk, b)$ where b is a bit:

Generate random $r \in Z_N^*$ and output $r^2 y^b \bmod N$.

Claim: $Enc(pk, b) \cdot Enc(pk, b')$ is an encryption of $b \oplus b' = b + b' \bmod 2$.

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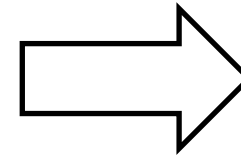
✓ Quadratic Residuosity/Goldwasser-Micali

4: Post-Quantum Security & Lattice-based Encryption

Solving ~~linear~~ Equations

$$(s_1 | s_2) \begin{bmatrix} 5 & 1 & 3 \\ 6 & 2 & 1 \end{bmatrix} = [11 \quad 3 \quad 9]$$

Easy!



Find $(s_1 | s_2)$

How about:

$$(s_1 | s_2) \begin{bmatrix} 5 & 1 & 3 \\ 6 & 2 & 1 \end{bmatrix} + [e_1 \quad e_2 \quad e_3] = [11 \quad 3 \quad 9]$$

(e_1, e_2, e_3) are “small” numbers

Very hard!



in large dimensions

Find \vec{s}

Learning with Errors (LWE)

[Regev05, following BFKL93, Ale03]

LWE: $(\mathbf{A}, \mathbf{sA} + \mathbf{e})$ very hard! \Rightarrow Find \mathbf{s}

$(\mathbf{A} \in \mathbb{Z}_q^{n \times m}$
 $\mathbf{s} \in \mathbb{Z}_q^n$ random “small” secret vector
 $\mathbf{e} \in \mathbb{Z}_q^n$: random “small” error vector)

Decisional LWE:

$(\mathbf{A}, \mathbf{sA} + \mathbf{e})$



(\mathbf{A}, \mathbf{b})

(\mathbf{b} uniformly random)

“Decisional LWE is as hard as LWE”.

Basic (Secret-key) Encryption

[Regev05]

n = security parameter, q = “small” prime

- Secret key sk = Uniformly random vector $\mathbf{s} \in Z_q^n$
- Encryption $Enc_{\mathbf{s}}(m)$: // $m \in \{0,1\}$
 - Sample uniformly random $\mathbf{a} \in Z_q^n$, “short” noise $e \in Z$
 - The ciphertext $\mathbf{c} = (\mathbf{a}, b = \langle \mathbf{a}, \mathbf{s} \rangle + e + m \quad |)$
- Decryption $Dec_{sk}(\mathbf{c})$: Output $(b - \langle \mathbf{a}, \mathbf{s} \rangle \bmod q)$
// correctness as long as $|e| < q/4$

Basic (Secret-key) Encryption

[Regev05]

This is an incredibly cool scheme. In particular, additively homomorphic.

$$c = (a, b = \langle a, s \rangle + e + m \lfloor q/2 \rfloor) \quad +$$

$$c' = (a', b' = \langle a', s \rangle + e' + m' \lfloor q/2 \rfloor)$$

$$c + c' = (a+a', b+b' = \langle a+a', s \rangle + (e+e') + (m+m') \lfloor q/2 \rfloor)$$

In words: $c + c'$ is an encryption of $m+m'$ (mod 2)

Public-key Encryption

[Regev05]

Here is a crazy idea. Public key has an encryption of 0 (call it c_0) and an encryption of 1 (call it c_1).

If you want to encrypt 0, output c_0 and if you want to encrypt 1, output c_1 .

Well, turns out to be a crazy *bad* idea.

If only we could produce *fresh* encryptions of 0 or 1 given just the pk...

Public-key Encryption

[Regev05]

Here is another crazy idea.

Public key has *many* encryptions of 0 and an encryption of 1 (call it c_1).

If you want to encrypt 0, output a random linear combination of the 0-encryptions.

If you want to encrypt 1, output a random linear combination of the 0-encryptions plus c_1 .

This one turns out to be a crazy *good* idea.

Public-key Encryption

[Regev05]

- Secret key $sk =$ Uniformly random vector $\mathbf{s} \in \mathbb{Z}_q^n$
- Public key pk : for i from 1 to $k = poly(n)$

$$\left(\mathbf{c}_0 = (\mathbf{a}_0, \langle \mathbf{a}_0, \mathbf{s} \rangle + e_0 + \lfloor \frac{q}{2} \rfloor), \mathbf{c}_i = (\mathbf{a}_i, \langle \mathbf{a}_i, \mathbf{s} \rangle + e_i) \right)$$

- Encrypting a bit m : pick k random bits r_1, \dots, r_k

$$\sum_{i=1}^k r_i \mathbf{c}_i + m \cdot \mathbf{c}_0$$

Correctness: additive homomorphism

Security: decisional LWE + “Leftover Hash Lemma”

Practical Considerations

I want to encrypt to Bob. How do I know his public key?

Public-key Infrastructure: a directory of identities together with their public keys.

Needs to be “authenticated”:

otherwise Eve could replace Bob’s pk with her own.

Practical Considerations

Public-key encryption is orders of magnitude slower than secret-key encryption.

1. We mostly showed how to encrypt bit-by-bit! Super-duper inefficient.
2. Exponentiation takes $O(n^2)$ time as opposed to typically linear time for secret key encryption (AES).
3. The n itself is large for PKE (RSA: $n \geq 2048$) compared to SKE (AES: $n = 128$).

(For Elliptic Curve El-Gamal, it's 320 bits)

Can solve problem 1 and minimize problems 2&3 using **hybrid encryption**.

Hybrid Encryption

To encrypt a long message m (think 1 GB):

Pick a random key K (think 128 bits) for a secret-key encryption

Encrypt K with the PKE: $PKE.Enc(pk, K)$

Encrypt m with the SKE: $SKE.Enc(K, m)$

To decrypt: recover K using sk . Then using K , recover m